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# Global OZone Chemistry And Related trace gas Data records for the Stratosphere (GOZCARDS): methodology and sample results with a focus on HCl, H<sub>2</sub>O, and O<sub>3</sub>

L. Froidevaux<sup>1</sup>, J. Anderson<sup>2</sup>, H.-J. Wang<sup>3</sup>, R. A. Fuller<sup>1</sup>, M. J. Schwartz<sup>1</sup>, M. L. Santee<sup>1</sup>, N. J. Livesey<sup>1</sup>, H. C. Pumphrey<sup>4</sup>, P. F. Bernath<sup>5</sup>, J. M. Russell III<sup>2</sup>, and M. P. McCormick<sup>2</sup>

<sup>1</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA

<sup>2</sup>Hampton University, Hampton, VA, USA

<sup>3</sup>Georgia Institute of Technology, Atlanta, GA, USA

<sup>4</sup>The University of Edinburgh, Edinburgh, UK

<sup>5</sup>Old Dominion University, Norfolk, VA, USA

Correspondence to: L. Froidevaux (lucienf@jpl.nasa.gov)

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Abstract. We describe the publicly available data from the Global OZone Chemistry And Related trace gas Data records for the Stratosphere (GOZCARDS) project and provide some results, with a focus on hydrogen chloride (HCl), water vapor  $(H_2O)$ , and ozone  $(O_3)$ . This data set is a global long-term stratospheric Earth system data record, consisting of monthly zonal mean time series starting as early as 1979. The data records are based on high-quality measurements from several NASA satellite instruments and the Atmospheric Chemistry Experiment Fourier Transform Spectrometer (ACE-FTS) on SCISAT. We examine consistency aspects between the various data sets. To merge ozone records, the time series are debiased relative to SAGE II (Stratospheric Aerosol and Gas Experiments) values by calculating average offsets versus SAGE II during measurement overlap periods, whereas for other species the merging derives from an averaging procedure during overlap periods. The GOZCARDS files contain mixing ratios on a common pressure-latitude grid, as well as standard errors and other diagnostics; we also present estimates of systematic uncertainties in the merged products. Monthly mean temperatures for GOZCARDS were also produced, based directly on data from the Modern-Era Retrospective analysis for Research and Applications.

The GOZCARDS HCl merged product comes from the Halogen Occultation Experiment (HALOE), ACE-FTS and lower-stratospheric Aura Microwave Limb Sounder (MLS) data. After a rapid rise in upper-stratospheric HCl in the early 1990s, the rate of decrease in this region for 1997–2010 was between 0.4 and 0.7 % yr<sup>-1</sup>. On 6–8-year timescales, the rate of decrease peaked in 2004–2005 at about 1 % yr<sup>-1</sup>, and it has since levelled off, at ~ 0.5 % yr<sup>-1</sup>. With a delay of 6–7 years, these changes roughly follow total surface chlorine, whose behavior versus time arises from inhomogeneous changes in the source gases. Since the late 1990s, HCl decreases in the lower stratosphere have occurred with pronounced latitudinal variability at rates sometimes exceeding 1–2 % yr<sup>-1</sup>. Recent short-term tendencies of lower-stratospheric and column HCl vary substantially, with increases from 2005 to 2010 for northern midlatitudes and deep tropics, but decreases (increases) after 2011 at northern (southern) midlatitudes.

For H<sub>2</sub>O, the GOZCARDS product covers both stratosphere and mesosphere, and the same instruments as for HCl are used, along with Upper Atmosphere Research Satellite (UARS) MLS stratospheric H<sub>2</sub>O data (1991–1993). We display seasonal to decadal-type variability in H<sub>2</sub>O from 22 years of data. In the upper mesosphere, the anticorrelation between H<sub>2</sub>O and solar flux is now clearly visible over two full solar cycles. Lower-stratospheric tropical H<sub>2</sub>O has exhibited two periods of increasing values, followed by fairly sharp drops (the well-documented 2000–2001 decrease and a recent drop in 2011–2013). Tropical decadal variability peaks just above the tropopause. Between 1991 and 2013, both in the tropics and on a near-global basis, H<sub>2</sub>O has decreased by  $\sim 5-10$  % in the lower stratosphere, but about a 10% increase is observed in the upper stratosphere and lower mesosphere. However, such tendencies may not represent longer-term trends.

For ozone, we used SAGE I, SAGE II, HALOE, UARS and Aura MLS, and ACE-FTS data to produce a merged record from late 1979 onward, using SAGE II as the primary reference. Unlike the 2 to 3 % increase in near-global column ozone after the late 1990s reported by some, GOZ-CARDS stratospheric column O<sub>3</sub> values do not show a recent upturn of more than 0.5 to 1 %; long-term interannual column ozone variations from GOZCARDS are generally in very good agreement with interannual changes in merged total column ozone (Version 8.6) data from SBUV instruments.

A brief mention is also made of other currently available, commonly formatted GOZCARDS satellite data records for stratospheric composition, namely those for N<sub>2</sub>O and HNO<sub>3</sub>.

## 1 Introduction

The negative impact of anthropogenic chlorofluorocarbon emissions on the ozone layer, following the early predictions of Molina and Rowland (1974), stimulated interest in the trends and variability of stratospheric ozone, a key absorber of harmful ultraviolet radiation. The discovery of the ozone hole in ground-based data records (Farman et al., 1985) and the associated dramatic ozone changes during Southern Hemisphere winter and spring raised the level of research and understanding regarding the existence of new photochemical processes (see Solomon, 1999). This research was corroborated by analyses of aircraft and satellite data (e.g., Anderson et al., 1989; Waters et al., 1993), and of independent ground-based data. Global total column ozone averages in 2006–2009 were measured as being smaller than during 1964–1980 by  $\sim 3$  %, and larger more localized decreases over the same periods reached  $\sim 6\,\%$  in the Southern Hemisphere midlatitudes (WMO, 2011). Halogen source gas emissions have continued to decrease as a result of the Montreal Protocol and its amendments. Surface loading of total chlorine peaked in the early 1990s, and subsequent decreases in global stratospheric HCl and ClO have been measured from satellite-based sensors (Anderson et al., 2000; Froidevaux et al., 2006; Jones et al., 2011) as well as from the ground (e.g., Solomon et al., 2006; Kohlhepp et al., 2012). A slow recovery of the ozone layer towards pre-1985 levels is expected (WMO, 2011, 2014). High-quality long-term data sets for ozone and related stratospheric species are needed to document past variability and to constrain global atmospheric models. The history of global stratospheric observations includes a large suite of satellite-based instruments, generally well-suited for the elucidation of long-term global change. A review of differences between past and ongoing satellite measurements of atmospheric composition has been the focus of the Stratosphere-troposphere Processes And their Role in Climate (SPARC) Data Initiative; results for stratospheric  $H_2O$  and  $O_3$  intercomparisons have been described by Hegglin et al. (2013) and Tegtmeier et al. (2013), respectively, to be followed by a report on many other species. Systematic biases reported in these papers mirror past validation work.

Under the Global OZone Chemistry And Related trace gas Data records for the Stratosphere (GOZCARDS) project, we have created monthly zonally averaged data sets of stratospheric composition on a common latitude-pressure grid, using high-quality data from the following satellite instruments: the Stratospheric Aerosol and Gas Experiments (SAGE I and SAGE II); the Halogen Occultation Experiment (HALOE), which flew aboard the Upper Atmosphere Research Satellite (UARS); the UARS Microwave Limb Sounder (MLS); the Atmospheric Chemistry Experiment Fourier Transform Spectrometer (ACE-FTS) on SCISAT; and Aura MLS. Table 1 provides characteristics of the original data sets; validation papers from the instrument teams and other related studies give a certain degree of confidence in these data. However, the existence of validation references does not imply that there are no caveats or issues with a particular measurement suite. In this project, we have strived to optimize data screening and mitigate some undesirable features, such as the impact of outlier values or the effects of clouds or aerosols. All source data sets still have imperfections, but in creating the GOZCARDS Earth system data record (ESDR) we maintain the integrity of the original data and do not arbitrarily disregard data, nor do we typically attempt to fill in spatial or temporal gaps in the record.

Based on original profiles from the various instruments, GOZCARDS "source" monthly zonal mean values were derived. After data screening, monthly average profiles were created by vertical interpolation onto the GOZCARDS pressure levels, followed by binning and averaging into monthly sets. In order to accommodate the lower vertical resolution of some limb viewers, such as UARS MLS, the GOZCARDS pressure grid was chosen as

$$p(i) = 1000 \times 10^{-\frac{i}{6}} \text{ (hPa)},\tag{1}$$

with *i* varying from 0 to a product-dependent top; this grid width corresponds to ~ 2.7 km. The high-resolution SAGE O<sub>3</sub> profiles were converted to mixing ratio versus pressure using their associated NCEP temperature profiles, and smoothed vertically onto this grid. Given the sampling of solar occultation instruments, which usually provide 15 sunrise and 15 sunset profiles in two narrow latitude bands every day (versus the denser sampling from MLS, with almost 3500 profiles day<sup>-1</sup>), we used 10°-wide latitude bins (18 bins from 80–90° S to 80–90° N) to construct monthly zonal means. Next, we merged the GOZCARDS source data by computing average relative biases between source data sets during periods of overlap, and then adjusting each source data set to a common reference to remove relative biases.

Instrument and data versions	Platform	Type of measurement	Time period (GOZCARDS source files)	Vertical resolution (km)	Retrieved quantity and stratospheric vertical grid spacing
SAGE I V5.9_rev O <sub>3</sub>	AEM-2	Solar occultation VIS/UV and near IR	Feb 1979–Nov 1981	1	Density on altitude grid 1 km spacing
SAGE II V6.2 O3	ERBS	Solar occultation VIS/UV and near IR	Oct 1984–Aug 2005	0.5–1	Density on altitude grid 0.5 km spacing
HALOE V19	UARS	Solar occultation mid-IR	Oct 1991–Nov 2005	2.5	Volume mixing ratio on pressure grid with 30 levels per decade (LPD) change in <i>p</i>
MLS V5 O <sub>3</sub> V6 H <sub>2</sub> O	UARS	Limb emission microwave/sub-mm	Oct 1991–Jun 1997 (May 1993 end for strat. $H_2O$ )	H <sub>2</sub> O 3-4 (strat.) 5-12 (mes.) O <sub>3</sub> 3.5-5 (strat.) 5-8 (mes.)	Volume mixing ratio on pressure grid with 6 LPD in stratosphere 6 LPD in stratosphere
ACE-FTS V2.2 (V2.2 update for O <sub>3</sub> )	SCISAT	Solar occultation mid-IR	Mar 2004 through Sep 2010 (2009 for O <sub>3</sub> )	3–4	Volume mixing ratio on 1 km grid spac- ing (height and p pro- vided)
MLS V3.3 V2.2 O <sub>3</sub>	Aura	Limb emission microwave/sub-mm	Aug 2004 through 2012	HCl 3-5 H <sub>2</sub> O 3-4 ( $p > 0.1$ hPa) 5-9 (0.1-0.01 hPa) O <sub>3</sub> 3	Volume mixing ratio on pressure grid with 6 LPD 12 LPD 6 LPD

Table 1. Characteristics of instrument data sets used to create GOZCARDS ESDRs (version ev1.01).

Nonzero biases always exist between data from different instruments for various reasons, such as systematic errors arising from the signals or the retrieved values, different vertical resolutions, or sampling effects. Toohey et al. (2013) studied sampling biases from a large suite of satellite-based stratospheric profiling instruments, based on simulations using fully sampled model abundance averages versus averages of output sampled at sub-orbital locations. Larger sampling errors arise from occultation than from emission measurements, which often sample thousands of profiles per day. Toohey et al. (2013) found that sampling biases reach 10– 15 %, notably at high latitudes with larger atmospheric variability. Sofieva et al. (2014) have also discussed sampling uncertainty issues for satellite ozone data sets.

We have observed very good correlations between GOZ-CARDS and other long-term ozone data, such as the Stratospheric Water vapor and OzOne Satellite Homogenized (SWOOSH) data (S. Davis, personal communication, 2012) and homogenized Solar Backscatter Ultraviolet (SBUV) data. Dissemination of trend results arising from analyses of GOZCARDS and other merged ozone data sets was planned as part of WMO (2014) and the  $SI^2N$  (Stratospheric Processes And their Role in Climate (SPARC), International Ozone Commission (IOC), Integrated Global Atmospheric Chemistry Observations (IGACO-O<sub>3</sub>), and the Network for the Detection of Atmospheric Composition Change (NDACC)) initiative. Profile trend results have been provided by Tummon et al. (2015), Harris et al. (2015), and Nair et al. (2013, 2015).

This paper starts with a discussion of data screening issues (Sect. 2 and Appendix A) and then describes the GOZ-CARDS data production methodology, followed by some atmospheric results for HCl (Sect. 3), H<sub>2</sub>O (Sect. 4), and O<sub>3</sub> (Sect. 5). We provide specific diagnostics that indicate generally good correlations and small relative drifts between the source data sets used to create the longer-term GOZCARDS merged time series. Section 6 briefly mentions GOZCARDS N<sub>2</sub>O and HNO<sub>3</sub>, as well as temperatures derived from Modern-Era Retrospective analysis for Research and Applications (MERRA) fields. The version of GOZCARDS described here is referred to as ESDR version 1.01 or ev1.01.

### 2 GOZCARDS source data and data screening

Data provenance information regarding the various measurements used as inputs for GOZCARDS is provided in Appendix A (Sect. A1).

## **GOZCARDS** data screening and binning

The screening of profiles for GOZCARDS has largely followed guidelines recommended by the various instrument teams and/or relevant publications, and we have documented these issues and procedures in Appendix A (Table A1). Unless otherwise noted, we only provide monthly means constructed from 15 or more (good) values in a given latitudepressure bin. For ACE-FTS data, we also found it necessary to remove occasional large outlier values that could significantly impact the monthly zonal means. Our outlier screening removed values outside 2.5 times the standard deviation, as measured from the medians in each latitude-pressure bin, for each year of data. This was deemed close to optimum by comparing results to Aura MLS time series, which typically are not impacted by large outliers, and to ACE-FTS zonal means screened (in a slightly different way) by the ACE-FTS instrument team. Up to 5% of the profile values in each bin in any given month were typically discarded as a result, but the maximum percentage of discarded values can be close to 10% for a few months of ACE-FTS data, depending on year and species. Moreover, because of poor ACE-FTS sampling, the threshold for minimum number of good ACE-FTS values determining a monthly zonal mean was allowed to be as low as 10 for mid- to high latitudes, and as low as 6 for low latitudes (bins centered from 25° S to 25° N). Zonal mean data from ACE-FTS become too sparse in some years if such lower threshold values are not used. Finally, no v2.2 ACE-FTS data are used after September 2010 (or after December 2009 for ozone) because of a data processing problem that affected this data version; a newly reprocessed ACE-FTS data set was not available before we made the GOZCARDS data public.

Placing profiles on a common pressure grid is straightforward when pressures are present in the original files, as is the case for most data used here. Also, the vertical resolutions are similar for most of the instruments used for GOZCARDS. The UARS MLS, HALOE, and Aura MLS native pressure grids are either the same as or a superset of the GOZCARDS pressure grid, so these data sets were readily sampled for the construction of monthly means. For ACE-FTS, pressures are provided along with the fixed altitude grid, and we used linear interpolation versus log(pressure) to convert profiles to the GOZCARDS grid. More details are provided later for SAGE I and SAGE II O<sub>3</sub>, for which density versus altitude is the native representation.

The binning of profiles occurs after the screened values are averaged (in each latitude-pressure bin). Note that, for the species discussed here, sunset and sunrise occultation values in the same latitude bin during a given month are averaged together. Negative monthly means are set to -999.0 in the GOZCARDS files; while negative mixing ratios smaller (in absolute value) than the associated standard errors can in theory be meaningful, negative monthly means are unlikely to be very useful scientifically. Quantities other than mixing ratios are provided in the netCDF GOZCARDS files, which are composed of one set of individual yearly files for all source data sets, and one set of yearly files for the merged products. The main quantities are monthly averages, plus standard deviations and standard errors. The GOZCARDS source files also provide the number of days sampled each month as well as minimum and maximum values for the source data sets. Other information includes average solar zenith angles and local solar times for individual sources. Finally, formulae for monthly standard deviations of the merged data are given in Appendix A, where sample time series of the standard deviations and standard errors (not systematic errors) for both source and merged data are also shown.

## **3 GOZCARDS HCl**

## 3.1 GOZCARDS HCl source data records

We used HCl data sets from HALOE, ACE-FTS and Aura MLS to generate the monthly zonal mean source products for GOZCARDS HCl. In addition to the procedures mentioned before, a first-order aerosol screening was applied to the HALOE HCl profiles: all HCl values at and below a level where the 5.26  $\mu$ m aerosol extinction exceeds  $10^{-3}$  km<sup>-1</sup> were excluded. Regarding Aura MLS HCl, Froidevaux et al. (2008b) found anomalously high values versus aircraft data at 147 hPa at low latitudes; these values are not used in the production of the merged HCl product. Also, the ongoing standard MLS HCl product is retrieved using band 14 rather than band 13, which targeted HCl for the first 1.5 years after launch but started deteriorating rapidly after February 2006. As the remaining lifetime for band 13 is expected to be short, this band has been turned on only for a few days since February 2006. MLS HCl data are not recommended for trend analyses at pressures < 10 hPa. However, for pressures  $\geq 10$  hPa, band 14 HCl is deemed robust, because of the broader emission line in this region, in comparison to the measurement bandwidth.

Past validation studies have compared MLS HCl (v2.2), ACE-FTS (v2.2) and HALOE (v19) data sets using coincident pairs of profiles; such work was described by Froidevaux et al. (2008b) for MLS HCl validation and by Mahieu et al. (2008) for ACE-FTS HCl validation. The MLS



**Figure 1.** Merging procedure illustration for HCl. Top left panel shows the HCl monthly mean source data during the overlap period (August 2004–November 2005) for HALOE, ACE-FTS, and Aura MLS. Top right panel illustrates step 1 in the merging procedure, with the temporary merged data values (orange) resulting from the adjustment of ACE-FTS and Aura MLS values to the mean reference indicated by the black dashed line (time mean of co-located ACE-FTS/Aura MLS points). Also, the cyan dashed line is the mean of the ACE-FTS points and the red dashed line is the mean of MLS points co-located with ACE-FTS. Middle left panel shows step 2 results, namely the merged values arising from merging HALOE data with the temporary merged data; the black dashed line is the new average reference value, obtained from a 2/3 and 1/3 weighting of the dashed orange (mean of orange points co-located with HALOE) and dashed blue line (mean of HALOE) values, respectively. Middle right panel shows all the source data and the final merged values during the overlap period. Bottom panel shows the source and merged time series from 1991 through 2012 after the calculated additive offsets are applied to the whole source data sets, which are then merged (averaged) together wherever overlap between instruments exists.

version 3.3/3.4 HCl data used here (see Livesey et al., 2013) compare quite well with v2.2 HCl (average relative biases are within 5%). HALOE HCl values were found to be biased low by  $\sim$  10–15% relative to both MLS and ACE-FTS, especially in the upper stratosphere; this low bias versus other (balloon- and space-based) measurements had been noted in past HALOE validation studies (Russell III et al., 1996). Also, HALOE (v19) and ACE-FTS (v2.2) HCl data tend to lose sensitivity and reliability for pressures less than  $\sim$  0.4 hPa.

## 3.2 GOZCARDS HCl merged data records

Although Aura MLS HCl data for pressures less than 10 hPa do not contribute to the time dependence of the merged HCl product, the 2004–2005 absolute Aura HCl measurements in this region are used to compute the offsets for the ACE-FTS and HALOE zonal mean source data in a consistent manner versus pressure. Figure 1 illustrates the merging process for HCl at 32 hPa for the 45° S latitude bin (covering 40–50°S). Given that there exists very little overlap between the three sets of measurements in the same months in 2004 and 2005, especially in the tropics, a simple 3-way averaging of the data sets would lead to significant data gaps. Our methodology is basically equivalent to averaging all three data sets during this period, and we use Aura MLS as a transfer data set. This was done by first averaging ACE-FTS and Aura MLS data, where the data sets overlap, and then including the third data set (HALOE) into the merging process with the temporary merged data. As the HALOE HCl values are generally lower than both the MLS and ACE-FTS values, the merged HCl data set is generally further away from HALOE than it is from either ACE-FTS or Aura MLS. The top left panel in Fig. 1 shows GOZCARDS source data for HALOE, ACE-FTS, and Aura MLS during the overlap period, from August 2004 (MLS data start) through November 2005 (HALOE data end). The top right panel illustrates the result of step 1 in the merging procedure, with the temporary merged data values (orange) resulting from the adjustment of ACE-FTS and Aura MLS values to the mean reference (black dashed line); this reference is simply the average of the two series for all months when both values exist. The middle left panel shows step 2, namely the values (brown)

obtained from merging HALOE values with the temporary merged values from step 1; the temporary merged values are weighted by 2/3 and HALOE values by 1/3 (giving the black dashed line as mean reference), so this is equivalent to averaging the three data sets with a weight of 1/3 each. A simple mathematical description of the above procedure is provided in Appendix A. The middle right panel shows the source data along with the final merged values during the overlap period, whereas the bottom panel shows the full time period, after the additive offsets are applied to the whole source series, thus removing relative biases; the three adjusted series are then averaged together wherever overlap exists, to obtain the final merged data set. We tested this procedure by using one or the other of the two occultation data as the initial one (for step 1), and the results were not found to differ appreciably. We also found that the use of multiplicative adjustments generally produces very similar results to additive offsets. Some issues were found on occasion with multiplicative offsets, when combining very low mixing ratios, but additive offsets can also have drawbacks if the merged values end up being slightly negative, notably as a result of changes that modify the already low HCl values during Antarctic polar winter. This occurs on occasion as additive offsets tend to be weighted more heavily by larger mixing ratios found during non-winter seasons; as a result, we decided not to offset lower-stratospheric HCl source data sets in the polar winter at high latitudes for any of the years. Further specifics and procedural details regarding the merging of HCl data are summarized in Appendix A.

In Fig. 2, we display the offsets that were applied to the three HCl source data sets as a result of the merging process in each latitude-pressure bin; a positive value means that a data set is biased low relative to the reference mean and needs to be increased by the offset value. These offsets show that, in general, ACE-FTS and Aura MLS HCl values were adjusted down by 0.1–0.2 ppbv (a decrease of about 2–10%), while HALOE HCl was adjusted upward by 0.2-0.4 ppbv. Offset values tend to be fairly constant with latitude, and the sum of the offsets equals zero. The generally homogeneous behavior versus latitude is a good sign, as large discontinuities would signal potential issues in the merging (e.g., arising from large variability or lack of sufficient statistics). Figure S1 in the Supplement provides more detailed examples of upper and lower-stratospheric offsets versus latitude, including standard errors based on the variability in the offsets during the overlap period (error bars provide an indication of robustness). Another indication of compatibility between data sets is provided by a comparison of annual cycles. Figure S2 provides average annual cycle amplitudes obtained from simple regression model fits to HALOE, ACE-FTS, and Aura MLS series over their respective periods. While there are a few regions where noise or spikes exist (mainly for ACE-FTS), large annual amplitudes in the polar regions occur in all time series; this arises from HCl decreases in polar winter, followed by springtime increases.



**Figure 2.** Offsets applied to the HCl source data sets (top panels for HALOE, middle panels for ACE-FTS, bottom panels for Aura MLS) as a function of latitude and pressure. The left column gives offsets in ppbv and the right column provides offsets as a percent of the zonal average merged mixing ratios during the overlap period (August 2004–November 2005) used here to compute the average offsets.

A more detailed analysis of interannual variability and trend consistency is provided from results in Fig. 3, which shows an example of ACE-FTS and Aura MLS time series. We have used coincident points from these time series to compare the deseasonalized anomalies (middle panel in Fig. 3) from both instrument series; correlation coefficient values (*R* values) are also computed. Very good correlations are obtained, and no significant trend difference between the anomalies (bottom panel in Fig. 3) exists for ACE-FTS versus Aura MLS HCl. A view of these correlations and drifts at all latitudes/pressures is provided in Fig. 4, where the top panel gives R values for deseasonalized anomalies and the bottom panel gives the ratio of the difference trends over the error in these trends. The results in Fig. 4 confirm that there are significant trend differences between the upperstratospheric HCl time series from ACE-FTS and Aura MLS (as a reminder, we did not use Aura MLS HCl for pressures less than 10 hPa). Figure 4 also shows very low correlation coefficients from the deseasonalized HCl series in the uppermost stratosphere, because Aura MLS HCl exhibits unrealistically flat temporal behavior, whereas ACE-FTS HCl varies more. In the lower stratosphere, there is generally good agreement between the ACE-FTS and Aura MLS HCl time series, with R values typically larger than 0.7 and differencetrend-to-error ratios smaller than 1.5. The few low R values for 100 hPa at low latitudes likely reflect more infrequent



**Figure 3.** Example of HCl time series analyses for  $50-60^{\circ}$  N and 32 hPa. (a) HCl monthly mean source data from ACE-FTS and Aura MLS; the MLS dots are filled when there is time overlap with ACE-FTS and open if no such overlap exists. Simple linear fits are shown as colored lines for ACE-FTS and for Aura MLS (orange line for all red dots and red line for filled red dots only). Correlation coefficient values (*R* values) for the two time series are provided in the title. (b) Deseasonalized anomalies for both ACE-FTS and Aura MLS, with corresponding linear fits (and *R* values). (c) Difference of deseasonalized anomalies (ACE-FTS minus Aura MLS), with linear fit.

ACE-FTS sampling and some (possibly related) outlier data screening issues.

Figure S3 illustrates GOZCARDS merged 46 hPa HCl variations versus time; there is clearly a much more complete global view (with no monthly gaps) after the launch of Aura MLS. Gaps at low latitudes in 1991 and 1992 are caused by post-Pinatubo aerosol-related issues in the HALOE record, and gaps in later years arise from the decrease in coverage from UARS. In the upper stratosphere, there are more gaps compared to 10 hPa and below, as a result of the much poorer tropical coverage from ACE-FTS and the elimination of MLS data in this region.

An indication of systematic errors in the merged values is given by the range of available monthly mean source data. For each bin, we compute the ranges of monthly means above and below the merged values that include 95 % of the available source data monthly means. These error bars are not usually symmetric about the merged values, especially if one data set is biased significantly more than others, in a relative sense. We did not have enough data sets here to consider a more statistical approach (such as the standard deviations among source data sets). Figure 5 shows the result of such a systematic error calculation at 46 hPa for the 35° S latitude bin. The lower shaded region range gives the lower bound, determined by HALOE data, and the upper limit of the grey shading originates from ACE-FTS data. Figure 6



**Figure 4.** Latitude–pressure contours of time series diagnostics obtained from analyses illustrated in Fig. 3 for HCl from Aura MLS and ACE-FTS. Top panel: correlation coefficient for the deseasonalized time series. Bottom panel: ratio of the slope of the difference between deseasonalized series over the error in this slope.



**Figure 5.** Illustration of GOZCARDS HCl monthly averages with systematic error estimates (grey shading) at 46 hPa for 30–40° S; see text for the meaning of this shaded region. The source data from HALOE, Aura MLS, and ACE-FTS are shown in different colors (see legend), along with the merged values.



**Figure 6.** Systematic error estimates for GOZCARDS HCl. One error (left panels) is relevant for values lower than (below) the merged values, and one (right panels) for values larger than the merged values; the top panels give the error estimates in ppbv, and the bottom panel errors are expressed as percent of the average merged values over the relevant time periods (see text). These error bars provide a range within which 95 % of the source data values lie.

shows contour plots of these estimated systematic errors in HCl. These are fairly conservative error bars; however, even the source data averages at the 95 % boundaries have their own systematic errors (rarely smaller than 5 %), so our estimates do not really encompass all error sources. Error bars representing a range within which 95 % of the source data values reside (see Figs. 5 and 6) can be a useful guide for data users or model comparisons; although this is not an official product, users can readily calculate such ranges (or we can provide these values).

## 3.3 GOZCARDS HCl sample results and discussion

Stratospheric HCl is important because it is the main reservoir of gaseous chlorine, and it can be used to follow the chlorine budget evolution over the past decades. This includes a significant increase before the mid-1990s as a result of anthropogenic chlorofluorocarbon (CFC) production, followed by a slower decrease as a result of the Montreal Protocol and subsequent international agreements to limit surface emissions that were correctly predicted to be harmful to the ozone layer (Molina and Rowland, 1974; Farman et al., 1985).

In Fig. 7, we provide an overview of the HCl evolution since 1991, based on GOZCARDS average merged HCl for three different latitude regions at four pressure levels, from the upper stratosphere to the lower stratosphere. In the upper stratosphere (at 0.7 hPa shown here), the rapid early rise in HCl was followed by a period of stabilization (1997–2000) and subsequent decreases. Rates of decrease for stratospheric HCl and total chlorine have been documented using satellitebased upper-stratospheric abundances, which tend to follow tropospheric source gas trends with a time delay on the order of 6 years, with some uncertainties in the modeling of this time delay and related age-of-air issues (Waugh et al., 2001; Engel et al., 2002; Froidevaux et al., 2006). As summarized in WMO (2011), the average rate of decrease in stratospheric HCl has typically been measured at -0.6 to -0.9 % yr<sup>-1</sup>, in reasonable agreement with estimated rates of change in surface total chlorine; see also the HCl upper-stratospheric results provided by Anderson et al. (2000) for HALOE, Froidevaux et al. (2006) for the 1.5-year band 13 Aura MLS data record, and Jones et al. (2011) and Brown et al. (2011) for a combination of HALOE and ACE-FTS data sets. The WMO (2011) summary of trends also includes results from column HCl data at various NDACC Fourier transform infrared (FTIR) measurement sites; see Kohlhepp et al. (2012) for a comprehensive discussion of ground-based results, showing some scatter as a function of latitude. Figure 7 demonstrates that a global-scale decline in mid- to lower-stratospheric HCl is visible since about 1997. We also notice that at 68 hPa in the tropics the long-term rate of change appears to be near



**Figure 7.** Time series of the GOZCARDS monthly averaged merged HCl abundance for three different latitude bin averages (see color legend in panel **a**) for (**a**) 0.7 hPa, (**b**) 10 hPa, (**c**) 32 hPa, and (**d**) 68 hPa.



**Figure 8.** The average rate of change (percent per year) for HCl as a function of pressure for different latitude bin averages (see legend) for time periods corresponding to the appropriate GOZ-CARDS HCl values (see text) in the upper stratosphere (January 1997–September 2010) and lower stratosphere (January 1997–December 2012). Deseasonalized monthly data were used to obtain a long-term trend for these time periods;  $2\sigma$  error bars are shown.

zero or slightly positive. In addition, there are shorter-term periods in recent years when an average increasing "trend" would be inferred rather than a decrease; in particular, see the Northern Hemisphere from 2005 through 2012 at 32 hPa.

We created deseasonalized GOZCARDS merged monthly zonal mean HCl data at different latitudes, and we show in Fig. 8 the linear rate of change that results from simple fits through such series. The long-term trends (1997-2013 for lower and 1997-2010 for upper stratosphere) are generally negative and between about  $-0.5 \% \text{ yr}^{-1}$  (upper stratosphere) and  $-1 \% \text{ yr}^{-1}$  (lower stratosphere). Some separation between Northern and Southern Hemisphere results is observed in the lower stratosphere, with less negative trends in the Northern Hemisphere. Also, the scatter increases from 68 to 100 hPa, where some positive trends occur at low latitudes; however, we have less confidence in the 100 hPa results, given the larger scatter and errors (and smaller abundances) in that region. Without trying to assign exact linear trends from these simple analyses, we observe considerable latitudinal variability in lower-stratospheric HCl short-term behavior, especially after 2005. Such lower-stratospheric changes in HCl have been captured in column HCl FTIR data (Mahieu et al., 2013, 2014). The latter reference shows that total column (FTIR) results and GOZCARDS lowerstratospheric HCl trends agree quite well, and the authors imply that a relative slowdown in the northern hemispheric circulation is responsible for observed recent changes in the lower stratosphere. However, we note (Fig. 7) that changes in lower-stratospheric HCl appear to be fairly short-term in nature, with an apparent reversal in behavior occurring at both northern and southern midlatitudes since 2011 (e.g., at



**Figure 9.** Rates of change for GOZCARDS HCl (connected open circles) are given as a function of latitude in  $10^{\circ}$  latitude bins for sliding 6-year periods centered on 1 January of each year (e.g., the 1998 point is an average for data from 1995 through 2000, and the 2011 point is for data from 2008 through 2013). (a) is for changes in upper-stratospheric HCl at 0.7 hPa, and (b) is for the change in the integrated HCl column between 68 and 10 hPa. The two additional curves in (a) represent the rates of change in the estimated surface total chlorine from NOAA data (green is for a 6-year time shift, and purple for a 7-year time shift, to account for transport time to the upper stratosphere); see text for more details. Error bars indicate twice the standard errors in the means.

32 hPa). Lower-stratospheric changes are distinct from the upper-stratospheric long-term decrease, which we expect to continue as long as the Montreal Protocol and its amendments are followed and total surface chlorine keeps decreasing.

In Fig. 9, we provide simple rates of upper- and lowerstratospheric change in HCl for 6-year sliding time periods (e.g., a 2004 value means a 2001–2006 average) for various latitudes. These results indicate that there has been an acceleration in the rate of decrease of upper-stratospheric HCl between 2000 and 2004, followed by a period with somewhat smaller rates of change. This is roughly in agreement with curves showing the rates of change for surface total chlorine based on National Oceanic and Atmospheric Administration (NOAA) surface data (Montzka et al., 1999), as shown in Fig. 9 (top panel) with the Earth System Research Laboratory Global Monitoring Division data, time shifted by 6 or 7 years to account for transport delays into the upper stratosphere. Chlorine source gases have indeed shown a reduction in their rate of decrease during the second half of the past decade, as discussed by Montzka et al. (1999) and summarized in WMO (2011, 2014). Reasons include the initial rapid decrease in methyl chloroform, slower rates of decrease from the sum of CFCs in recent years, and increases in hydrochlorofluorocarbons (HCFCs). The lower-stratospheric HCl behavior in Fig. 9 (bottom panel) shows rates of change in partial column density between 68 and 10 hPa. These changes show more variability with latitude than in the upper stratosphere for short (6-year) time periods, and a hemispheric asymmetry exists, peaking in 2009, when positive tendencies are seen in the Northern Hemisphere, as opposed to decreases in the south (Mahieu et al., 2014). These results do not depend much on whether 6- or 8-year periods (not shown) are used, but longer periods smooth out the rates of change; interannual variations, such as those arising from the quasi-biennial oscillation (QBO), will affect short-term results. Temporal patterns in the upper and lower stratosphere are qualitatively similar, and rates of change in surface emissions will impact both regions, but carefully disentangling this from changes in dynamics or in other species (e.g., CH<sub>4</sub>) that can affect chlorine partitioning will require more analyses and modeling.

# 4 GOZCARDS H<sub>2</sub>O

## 4.1 GOZCARDS H<sub>2</sub>O source data records

We used water vapor data sets from HALOE, UARS MLS, ACE-FTS, and Aura MLS to generate the monthly zonal mean source products for GOZCARDS H<sub>2</sub>O. In addition to the data screening procedures mentioned in Appendix A, we screened HALOE H<sub>2</sub>O data for high aerosol extinction values, closely following the screening used for merged H<sub>2</sub>O in the SWOOSH data set (S. Davis, personal communication, 2012). This method (see Fig. S4) screens out anomalous HALOE H<sub>2</sub>O values that occurred mainly in 1991-1992, when the aerosol extinction near 22 hPa exceeded  $5\times 10^{-4}\,km^{-1};$  for pressure levels at and below 22 hPa, we have excluded the corresponding H<sub>2</sub>O values. While this method may exclude some good data points, the lowest values (< 3 ppmv) do get screened out; such outliers are not corroborated by 22 hPa UARS MLS data (with most values > 3 ppmv). Also, for upper-mesospheric HALOE data, care should be taken during high-latitude summer months, as no screening was applied for the effect of polar mesospheric clouds (PMCs). High biases (by tens of percent) in H<sub>2</sub>O above ~ 70 km have been shown to occur as a result of PMCs in the HALOE field of view (McHugh et al., 2003). Indeed, monthly means larger than 8–10 ppmv are observed in GOZ-CARDS H<sub>2</sub>O merged data and in HALOE source data for pressures less than ~ 0.03 hPa. A more recent HALOE data version (V20 or VPMC) could be used to largely correct such PMC-related effects, although this was not implemented for GOZCARDS H<sub>2</sub>O. Aura MLS and ACE-FTS measurements, obtained at longer wavelengths than those from HALOE, do not yield such large H<sub>2</sub>O values; a rough threshold of 8.5 ppmv could also be used (by GOZCARDS data users) to flag the pre-2005 merged data set.

UARS MLS stratospheric  $H_2O$  for GOZCARDS was obtained from V6 (or V600)  $H_2O$  data. This data version is identical to the original prototype (named V0104) from Pumphrey (1999), who noted that UARS MLS  $H_2O$  often exhibits drier values (by 5–10%) than HALOE  $H_2O$  (see also Pumphrey et al., 2000). The resulting UARS MLS  $H_2O$ source data span the period from September 1991 through April 1993; a significant fraction of this data set in the tropics at 100 hPa is flagged as bad, as a result of diminishing sensitivity.

Summarizing past validation results, SPARC WAVAS (2000) analyses pointed out the existence of a small low bias in HALOE stratospheric H<sub>2</sub>O versus most other measurements, except for UARS MLS. Lambert et al. (2007) showed agreement within 5-10% between Aura MLS version 2.2 H<sub>2</sub>O and other data, including ACE-FTS H<sub>2</sub>O. From the mid-stratosphere to the upper mesosphere, excellent agreement between ground-based data from the Water Vapor Millimeter-wave Spectrometer and H<sub>2</sub>O profiles from Aura MLS and ACE-FTS has been demonstrated by Nedoluha et al. (2007, 2009, 2011). Changes from MLS v2.2 to v3.3 led to an increase of 0.2-0.3 ppmv in stratospheric H<sub>2</sub>O (Livesey et al., 2013). Recent comparisons by Hurst et al. (2014) of MLS v3.3 H<sub>2</sub>O data versus Cryogenic Frost point Hygrometer time series above Boulder show excellent overall agreement, indicating that systematic uncertainties for lower-stratospheric MLS data may be as low as  $\sim$  5 %; this reinforces MLS H<sub>2</sub>O validation work by Read et al. (2007) and Voemel et al. (2007). Aura MLS stratospheric H<sub>2</sub>O v3.3 values are slightly larger (by up to  $\sim 5\%$ ) than the multi-instrument average from a number of satellite data sets, as discussed in satellite intercomparisons by Hegglin et al. (2013), who observed only small disagreements in interannual variations from various series for pressures less than 150 hPa.

#### 4.2 GOZCARDS H<sub>2</sub>O merged data records

The merging process for  $H_2O$  is nearly identical to the method used for HCl. The main difference is an additional step that merges UARS MLS data with the already combined data sets from HALOE, ACE-FTS, and Aura MLS, by simply adjusting UARS MLS values to the average of



**Figure 10.** Offsets applied to the  $H_2O$  source data sets as a function of latitude and pressure, similar to Fig. 2 for HCl.

the previously merged series during the early (1991–1993) overlap period; see Fig. S5 for an illustration. Typically, this requires an upward adjustment of UARS MLS H<sub>2</sub>O data, as these values are biased low versus most other data sets; nevertheless, the short but global record from UARS MLS helps to fill the time series. After considering the channel drift issues for SAGE II H<sub>2</sub>O (and following past advice from the SAGE II team itself), we decided to use caution and did not include that data set for GOZCARDS, as trend results could be affected. Other minor procedural merging details or issues for H<sub>2</sub>O are included in the Supplement. Also, data users should be aware of anomalous effects arising in merged average series from non-uniform latitudinal sampling when no MLS data exist, in regions with large latitudinal gradients, as for H<sub>2</sub>O at 147 hPa, the largest pressure for merged GOZ-CARDS H<sub>2</sub>O. Latitudinal averages can be biased in certain months, and month-to-month variability is increased because of relatively poor global sampling (in this region) prior to August 2004, after which Aura MLS data are used.

In Fig. 10, we display the average offsets that were applied to the four  $H_2O$  source data sets; these offsets follow previously known relative data biases. For example, low biases in UARS MLS  $H_2O$ , especially in the mesosphere, were discussed by Pumphrey (1999), and the UARS MLS offsets (see Fig. 10) correct that data set upward. The application of offsets derived for HALOE and UARS MLS raises the  $H_2O$  time series from these instruments, whereas negative offsets lower the  $H_2O$  source data from ACE-FTS and Aura



Figure 11. Latitude–pressure contours of time series diagnostics for  $H_2O$  from Aura MLS and ACE-FTS; this is similar to Fig. 4 for HCl.

MLS. As we found for HCl, the offset values generally display small variations versus latitude and are therefore fairly stable systematic adjustments to the time series. Figure S6 in the Supplement displays the amplitudes of the fitted annual cycles for HALOE, ACE-FTS, and Aura MLS. As for HCl, similar patterns emerge for these data sets. Wintertime descent into the polar vortex regions is responsible for large annual cycles at high latitudes, especially in the mesosphere; also, the seasonal impact of dehydration in the lowerstratospheric Antarctic region causes a large annual cycle in Aura MLS high-southern-latitude data. Figure 11 provides some statistical information, as done for HCl in Sect. 3.2, regarding the correlations and trend differences between ACE-FTS and Aura MLS. There are a few regions with noisier relationships. While slow increases in H<sub>2</sub>O are generally observed by both instruments in the stratosphere and mesosphere, the tropical region near 0.1 hPa shows a slight decreasing trend for the ACE-FTS points, thus leading to larger discrepancies; it is not clear what the source of these discrepancies is. While the tropical ACE-FTS data are generally sampled with a significantly lower temporal frequency, the same applies for all pressure levels; however, a few outlier points can have a much larger impact when sampling is poorer. There are also a few other spots, such as near 65° S



**Figure 12.** A depiction of the "tape recorder" evolution for tropical water vapor abundances from 147 to 6.8 hPa for October 1991 through December 2013. This plot was produced from GOZ-CARDS merged  $H_2O$  time series anomalies (differences from the long-term means) for the average of the four tropical bins covering  $20^{\circ}$  S to  $20^{\circ}$  N.

and  $65^{\circ}$  N and near 5 hPa, with a large drift in the difference time series; this may be caused by a combination of poorer sampling by ACE-FTS and larger atmospheric variability, which can lead to more scatter. At the highest latitudes in the lower stratosphere, the observed slope differences are more within error bars, but the larger variability means that a longer record is needed to determine if the time series trend differently. The merged data set tends to be much closer to Aura MLS in terms of trends because there are many more months of Aura MLS than ACE-FTS data; the overall impact of ACE-FTS data on the merged H<sub>2</sub>O series is fairly small.

Figure S7 provides a visual representation of the merged GOZCARDS H<sub>2</sub>O fields at 3 and 68 hPa. Well-known features are displayed in these plots, given the good global coverage in the post-2004 period in particular. In the upper stratosphere, descent at high latitudes during the winter months leads to larger H<sub>2</sub>O values, and low-latitude QBO features are also observed. In the lower stratosphere, one observes dehydration evidence at high southern latitudes in the winter months, as well as a low-latitude seasonal "tape recorder" signal; this phenomenon is driven by tropopause temperatures and has been measured in satellite data since the early 1990s (Mote et al., 1996; Pumphrey, 1999). A vertical cross section of this lower-stratospheric tropical (20° S to 20° N) tape recorder in GOZCARDS merged H<sub>2</sub>O for 1991-2013 is shown in Fig. 12; periods of positive anomalies alternate with negative anomalies, including the post-2000 lows, as well as the most recent decreases in 2012-2013 (see also next section).

As we discussed for HCl, we have estimated systematic errors for the merged  $H_2O$  product. This is illustrated by the contour plots in Fig. 13; these ranges encompass at least 95 % of the monthly mean source data values from HALOE, UARS MLS, ACE-FTS, and Aura MLS above or below the



Figure 13. Systematic error estimates for GOZCARDS H<sub>2</sub>O (similar to Fig. 6 for HCl).

merged series. These errors typically span 5 to 15% of the mean between 100 and 0.1 hPa; errors larger than 30% exist in the tropical upper troposphere (147 hPa), and large values in the upper mesosphere arise from the low bias in UARS MLS H<sub>2</sub>O.

## 4.3 GOZCARDS H<sub>2</sub>O sample results and discussion

Stratospheric  $H_2O$  variations have garnered attention because of the radiative impacts of water vapor in the upper troposphere–lower stratosphere (UTLS) and the connection to climate change (Solomon et al., 2010), as well as the stratospheric chemical significance of  $H_2O$  oxidation products. Individual water vapor data sets have been used here to produce a merged stratospheric  $H_2O$  record spanning more than 2 decades. We do not attempt here to characterize trends or to imply that recent tendencies will carry into the next decade or two. Rather, as variability is also of interest to climate modelers, we focus here on observed decadal-type (longer-term) variability in stratospheric water vapor.

Figure 14 illustrates monthly, annual, and longer-term changes in stratospheric water vapor, based on GOZCARDS merged H<sub>2</sub>O; this shows the well-known H<sub>2</sub>O minimum in the lower tropical stratosphere as well as an increases in the upper stratosphere (as a result of methane oxidation). As we know from past studies (e.g., Randel et al., 2004), medium-to long-term changes in H<sub>2</sub>O are large-scale in nature. However, lower-stratospheric H<sub>2</sub>O variations are more accentuated at low latitudes, in comparison to near-global ( $60^{\circ}$  S–

60° N) results. It has long been known (e.g., from the in situ balloon-borne measurements of Kley et al., 1979) that the hygropause is typically located a few kilometers higher than the thermal tropopause. We observe that the tropical stratosphere is drier at 68 than at 100 hPa (near the tropopause). According to the 22-year GOZCARDS data record, annually averaged H<sub>2</sub>O values in the tropics (20° S-20° N) have varied between about 3.2 and 4.2 ppmv at 68 hPa. The rapid drop between 2000 and 2001 is observed at 100 and 68 hPa, with some dilution of this effect at higher altitudes. There is a clear difference in long-term behavior between the upper stratosphere, where changes in methane should have the clearest influence, and the lower stratosphere, where cold point temperatures and dynamical changes have a significant impact. To first order, the last few years show  $\sim 10\%$  larger values in the upper stratosphere than in the early 1990s, while the opposite holds in the lowest stratospheric region, where a decrease on the order of 10% is observed over the same period. Figure 14 also shows that month-to-month and seasonal variations are usually somewhat larger than the long-term changes in the lower stratosphere, most notably at 100 hPa.

In order to provide longer-term variability diagnostics for water vapor, we show in Fig. 15 the minimum to maximum spread in annual averages (tropics and midlatitudes) from Fig. 14 for the 22-year period. We observe that the tropical variability is largest just above the tropopause (here this means at the 68 hPa GOZCARDS level), where it reaches



**Figure 14.** Variations in stratospheric water vapor from the GOZ-CARDS  $H_2O$  merged data records (1992 through 2013) averaged from (a) 60° S to 60° N and (b) 20° S to 20° N. Monthly average values and annual averages are shown by thin and thick lines (connecting similarly colored dots), respectively, for the pressure levels indicated in the plot legend.

 $\sim$  27 % (1 ppmv). Such diagnostics of variability should be useful for comparisons to various chemistry–climate models.

The longer-term variability in water vapor increases above the stratopause and reaches close to 30% in the uppermost mesosphere, as seen in Fig. 16a; this plot shows the monthly and annual near-global ( $60^{\circ}$  S– $60^{\circ}$  N) H<sub>2</sub>O variations at 0.01 hPa. Large seasonal changes in this region are driven by vertical advection associated with the mesospheric circulation, with each hemisphere's summertime peaks contributing to the maxima (two per year) in these near-global averages; such seasonal variations were compared to model results by Chandra et al. (1997), based on the first few years of HALOE H<sub>2</sub>O data. The strong upper-mesospheric variability in annual-mean H<sub>2</sub>O is known from previous studies of ground-based and satellite H<sub>2</sub>O data (Chandra et al., 1997; Nedoluha et al., 2009; Remsberg, 2010), and this region is where the solar (Lyman  $\alpha$ ) influence on H<sub>2</sub>O is



**Figure 15.** Stratospheric water vapor variability on decadal timescales for 1992 through 2013 for tropical  $(20^{\circ} \text{ S}-20^{\circ} \text{ N} \text{ in black})$  and midlatitude  $(20-60^{\circ} \text{ N} \text{ in red and } 20-60^{\circ} \text{ S} \text{ in blue})$  zonal means, based on the GOZCARDS merged H<sub>2</sub>O data record. The variability is expressed here as the difference between maximum and minimum annual average abundances, from 100 to 1 hPa, in ppmv (left panel) and percent (right panel).

strongest. Figure 16b displays the near-global variations in annual upper-mesospheric  $H_2O$  from 0.1 to 0.01 hPa. We clearly see increased variability in the uppermost mesosphere and decreases in the mixing ratios as a result of  $H_2O$  photodissociation.

# 5 GOZCARDS ozone

A number of discussions relating to signs of ozone recovery have been presented before (Newchurch et al., 2003; Wohltmann et al., 2007; Yang et al., 2008; Jones et al., 2009; Hassler et al., 2011; Salby et al., 2011, 2012; Ziemke and Chandra, 2012; Gebhardt et al., 2014; Kuttipurath et al., 2013; Kirgis et al., 2013; Nair et al., 2013, 2015; Shepherd et al., 2014; Frith et al., 2014). While there are some indications of small increases in O<sub>3</sub> in the past 10–15 years, further confirmation of an increase in global O<sub>3</sub> and its correlation with column increases is needed in order to more clearly distinguish between long-term forcings, notably from the 11-year solar cycle, slow changes in halogen source gases, temperature changes, and shorter-term variability. Continuing, good long-term ozone data sets are clearly needed for such studies.

#### 5.1 GOZCARDS ozone source data records

We used ozone data sets from SAGE I, SAGE II, HALOE, UARS MLS, ACE-FTS, and Aura MLS to generate the monthly zonal mean source products for GOZCARDS. Due to time constraints, we did not use the newer SAGE II version 7 ozone (see Damadeo et al., 2013) as part of the



**Figure 16. (a)** Variations in upper-mesospheric (0.01 hPa) water vapor mixing ratios averaged from 60° S to 60° N for October 1991 through December 2013, based on the GOZCARDS merged H<sub>2</sub>O data records. Monthly average values and annual averages are shown by connected brown dots and connected black dots, respectively. **(b)** GOZCARDS merged H<sub>2</sub>O annual averages (connected filled symbols) from 60° S to 60° N for 1992 through 2013 at pressure levels between 0.1 and 0.01 hPa. A time series of annually averaged Lyman  $\alpha$  solar flux values (open circles), scaled to arbitrary units, is also displayed (see text).

GOZCARDS merged data set. Our studies indicate that there are systematic differences of only a few percent between SAGE II V6.2 and V7 O<sub>3</sub> on their native coordinates (number density versus altitude). However, these two versions exhibit some differences if the data are converted to mixing ratios on pressure surfaces. These differences result mainly from different temperatures (and their trends) between MERRA and analyses from the National Centers for Environmental Prediction (NCEP), used by SAGE II V7 and V6.2 retrievals, respectively. The main differences between MERRA and NCEP temperatures occur in the upper stratosphere for time periods before 1989 and after mid-2000 (see further details in Sect. 5.2).

#### 5.1.1 Treatment of SAGE ozone profiles

Both SAGE I and SAGE II used solar occultations during satellite sunrise and sunset to measure vertical profiles of ozone, along with other composition data and aerosol extinction (McCormick et al., 1989; Cunnold et al., 1989). It takes about 1 month for SAGE I and II to provide near-global coverage (about 80° N to 80° S), with some dependence on season. The SAGE I measurements started in February 1979 and stopped in November 1981, while SAGE II provided data between October 1984 and August 2005. In the middle of July 2000, SAGE II had a problem in its azimuth gimbal system. Although this was corrected by November 2000, the instrument operation was switched to a 50 % duty cycle, with either sunrise or sunset occultations occurring in monthly alternating periods, until the end of the mission.

It is known that there were altitude registration errors in SAGE I (V5.9) data (Veiga et al., 1995; Wang et al., 1996). To correct this problem, an empirical altitude correction method based on Wang et al. (1996) had been applied to SAGE I (V5.9) data; these corrected SAGE I V5.9 profiles, which had been evaluated in previous trend studies (e.g., SPARC, 1998; WMO, 2003), were used to create the GOZCARDS SAGE I product (denoted as version V5.9\_rev). We did not use reprocessed version 6.1 SAGE I data (L. W. Thomason, personal communication, 2012) because the altitude registration problems had not been completely fixed, and new altitude correction criteria should be derived and validated.

Ozone data screening details for the original SAGE I and SAGE II data sets are provided in Appendix A. The number density profiles were converted to mixing ratios on pressure levels by using NCEP temperature and pressure data provided with each profile. Derived ozone profiles were then interpolated to fixed pressure levels on the following grid:

$$p(i) = 1000 \times 10^{-30} \text{ (hPa)} \quad i = 0, 1, 2, \dots$$
 (2)

Ozone values at each of the five levels centered on every GOZCARDS pressure level were then averaged (weighted by pressure) to derive mixing ratios at each GOZCARDS pressure level. By doing this, the SAGE profiles were smoothed to a vertical resolution comparable to that of the other satellite instruments used in this GOZCARDS work. Monthly zonal means were then computed for the SAGE ozone data sets on the GOZCARDS-compatible grid.

#### 5.1.2 Comparisons of ozone zonal means

Ozone differences between SAGE II and other satellite data are shown in Fig. S8. Zonal mean differences between SAGE II and HALOE are generally within 5% for 1.5 to 68 hPa at midlatitudes, and for 1.5 to 46 hPa in the tropics; relative biases are larger outside those ranges and increase to  $\sim 10\%$  near the tropopause and also near 1 hPa. This good level of agreement has been demonstrated in the past (e.g., SPARC, 1998). SAGE II data show better agreement with



**Figure 17.** Time series of monthly zonal mean  $O_3$  for 10–20° S between 1 and 6.8 hPa (with pressure values given by "pre") from SAGE I, SAGE II, HALOE, UARS MLS, Aura MLS, and ACE-FTS, all color-coded following the legend in top left panel.

UARS and Aura MLS in the upper stratosphere and lower mesosphere, within 5 % up to 0.68 hPa and for latitudes outside the polar regions. Aura MLS O<sub>3</sub> compares better with SAGE II data than does UARS MLS in the tropics for pressures larger than 68 hPa; the high bias in UARS MLS O<sub>3</sub> at 100 hPa has been discussed previously (Livesey et al., 2003). There are no months that include both SAGE II and ACE-FTS data in the Northern Hemisphere tropics (see the gap in Fig. S8, bottom right panel), largely due to the poorer coverage from ACE-FTS in the tropics. ACE-FTS O<sub>3</sub> shows the largest positive bias (greater than 10%) with respect to SAGE II, for pressures less than 1.5 hPa. The high bias in upper-stratospheric ACE-FTS ozone has been mentioned in past validation work using ACE-FTS data (e.g., Froidevaux et al., 2008a; Dupuy et al., 2009). The biases shown here are also consistent with recent O<sub>3</sub> intercomparison studies from a comprehensive array of satellite instruments by Tegtmeier et al. (2013). It has been known for some time that the HALOE and SAGE II ozone data sets, which govern the main variations of the GOZCARDS merged ozone values before 2005, agree quite well (within 5%) in absolute value, and also in terms of temporal trends (Nazaryan et al., 2005), and versus ozonesondes (mostly above  $\sim 20 \text{ km}$  or  $\sim 50 \text{ hPa}$ ). Larger percentage differences occur in the lowest region of the stratosphere at low latitudes, and especially in the upper troposphere, where HALOE values become significantly smaller than SAGE II data, which are already biased low (by  $\sim 50$ %) versus sondes (Wang et al., 2002); see also Morris et al. (2002), as well as results of SAGE II and HALOE comparisons versus solar occultation UV–visible spectrometer measurements from long-duration balloons (Borchi et al., 2005). We should note here that, in this GOZCARDS merging work, we have largely avoided the upper tropospheric region.

Zonal mean differences between SAGE II and Aura MLS show some latitudinal structure between 1 and 3 hPa, with larger (5-10%) biases in the Southern Hemisphere, especially for 0 to  $30^{\circ}$  S (see Fig. S8). There are no such features between SAGE II and HALOE or UARS MLS. We found that this results from anomalous NCEP temperatures after 2000, which affect SAGE II data converted from number density/altitude to GOZCARDS volume mixing ratio (VMR)/pressure coordinates. Figure 17 shows an example of the ozone series from SAGE II and other satellite data for 10 to  $20^{\circ}$  S from 1 to 6.8 hPa. At 1 hPa, the SAGE II ozone values (converted to mixing ratios) drift relative to HALOE



Figure 18. Schematic diagram describing the creation of the merged GOZCARDS monthly zonal mean ozone data record from various satellite data sets. Instruments represented in red inside the boxes are used as a reference. Instruments whose measurements have already been adjusted to a reference are indicated with a "\*" superscript. AMLS refers to Aura MLS, and UMLS to UARS MLS. See text for more details.

and are elevated after mid-2000; this can be attributed to abnormal NCEP temperature trends compared to MERRA and HALOE during the same time period (for detailed views, see Figs. S9 and S10). Similar features are found down to pressures near 3 hPa. These issues relating to anomalous upper-stratospheric NCEP temperature trends were noted by McLinden et al. (2009). Because such artifacts are confirmed by using either MERRA or HALOE temperatures, we decided not to include in the merging process any SAGE II O<sub>3</sub> values after 30 June 2000 for pressures equal to or less than 3.2 hPa. SAGE II ozone is not significantly affected by the conversion to mixing ratio–pressure coordinates at 4.6 and 6.8 hPa (Fig. 17).

## 5.2 GOZCARDS ozone merged data records

#### 5.2.1 Methodology for GOZCARDS merged ozone

Ozone measurements from SAGE I, SAGE II, HALOE, UARS MLS, Aura MLS and ACE-FTS, were used to establish a near-continuous monthly zonal mean record from late 1979 through 2012 for the GOZCARDS merged O<sub>3</sub> product (ESDR version 1.01). The SAGE II data set was used as a reference standard, since it has the longest period of measurements and has been extensively validated. A GOZCARDS ozone merged data record is constructed by combining these measurements after removing systematic biases with respect to SAGE II. This is done by applying additive offsets to all other instrument series, as determined from average differences between monthly zonal means and SAGE II during overlap time periods. The merged data are then derived by averaging all available adjusted data sets. Because there are gaps in overlap between SAGE II and ACE-FTS monthly mean data at some latitudes (Fig. S7), and as SAGE II ozone VMRs obtained from the vertical grid transformation were affected by anomalous NCEP temperatures after mid-2000 for pressures smaller than or equal to 3.2 hPa, a two-step approach is used to generate the merged product. First, SAGE II data are used as a reference for pressures larger than 3.2 hPa to adjust HALOE, UARS MLS and Aura MLS based on overlapping months between 1991 and November 2005; see



Figure 19. Offsets applied to the  $O_3$  source data sets, similar to Fig. 2 for HCl.

the method overview schematic in Fig. 18. For p < 3.2 hPa, SAGE II O<sub>3</sub> is still used as a reference through June 2000, and HALOE and UARS MLS data are adjusted accordingly. This eliminates the effect of anomalous NCEP temperatures on SAGE II ozone and leads to more accurate offsets based on HALOE values, after they have been adjusted to SAGE II. Adjusted HALOE data (HALOE\* in Fig. 18) are then used as a reference to derive estimated offsets for Aura MLS O<sub>3</sub>, using the overlap period with HALOE from August 2004 to November 2005. In step 2, a new reference value is derived by averaging all available data from SAGE II, HALOE\*, UARS MLS\* and Aura MLS\*. This value is used to adjust ACE-FTS ozone based on all overlapping months between March 2004 and November 2005. By including Aura MLS in the data set created in step 1, we obtain more complete spatial and temporal coverage than possible with SAGE II and HALOE, and ensure that there are overlapping months between this combined data set and ACE-FTS source data. At the end of step 2, the final merged ozone is derived by averaging the temporary merged data set from step 1 with the adjusted ACE-FTS data.



**Figure 20.** Latitude–pressure contours of time series diagnostics for  $O_3$  from Aura MLS and ACE-FTS; this is similar to Fig. 4 for HCl. The correlation coefficients (*R* values) and slope trend diagnostics are provided for HALOE versus SAGE II in the top two panels (for 1993–1999 as the trend issue for converted SAGE II data occurs after mid-2000 and to avoid Pinatubo-related data gaps before 1993) and for ACE-FTS versus Aura MLS in the bottom two panels (for 2005–2009).

# 5.2.2 Further considerations regarding GOZCARDS merged ozone data

Even in the absence of diurnal variations, measurements from occultation sensors can yield larger sampling errors than those from densely sampled emission measurements (Toohey et al., 2013). Diurnal changes in ozone can affect data comparisons and could impact data merging. Recently, Sakazaki et al. (2013) presented diurnal changes measured by the Superconducting Submillimeter-Wave Limb-Emission Sounder (SMILES), and Parrish et al. (2014) analyzed ground-based microwave  $O_3$  profile variations versus local time in conjunction with satellite data. Ozone diurnal variations range from a few percent in the lower stratosphere to more than 10 % in the upper stratosphere and lower mesosphere (see also Ricaud et al., 1996; Haefele et al., 2008; Huang et al., 2010). SAGE II and other occultation instruments observe ozone at local sunrise or sunset, and the retrieved values are generally closer to nighttime values in the upper stratosphere and mesosphere. To characterize systematic differences between satellite data, coincident profiles with small differences in space and time are most often used; an example of mean differences and standard deviations between SAGE II and Aura MLS using both coincident profile and zonal mean methods is provided in Fig. S11. SAGE II and coincident Aura MLS nighttime O<sub>3</sub> values agree within  $\sim$  5 % between 0.46 and 100 hPa, except in the tropical lower stratosphere where comparisons are noisier. Differences between zonal mean SAGE II and Aura MLS data are very close to differences from averaged coincident values, except for pressures less than 2 hPa, where differences increase from a few percent to  $\sim 10$  % at 0.3 hPa, consistent with what one expects from the diurnal cycle. Although zonal mean differences are likely to be less representative of "true" differences, by combining SAGE II with Aura MLS data adjusted by zonal mean biases, we provide a series adjusted to the average of sunrise and sunset, as measured by SAGE II. If Aura MLS data were adjusted by biases obtained using the coincident method, an upper-stratospheric offset of more than several percent and artificial trends due to such a diurnal cycle effect could be introduced. The use of long-term data sets with consistent sampling should be an advantage for trend detection, even in a region with diurnal changes. Also, our avoidance of SAGE II upper-stratospheric O<sub>3</sub> after mid-2000 mitigates potential artifacts arising from changing SAGE II sunrise/sunset sampling patterns over time.

Figure 19 displays the average ozone offsets obtained from the biases relative to SAGE II. A high bias in upperstratospheric ACE-FTS O<sub>3</sub> relative to other data sets is evident from the negative ACE-FTS offsets (as large as 25%). Most of the other instrument offsets are in the 5–10% range; lowering O<sub>3</sub> from UARS MLS, HALOE, and Aura MLS in the lower mesosphere is required to match SAGE II. Sampling differences and data sparseness may be mostly responsible for larger offsets at high latitudes; in these regions, the merged data are less amenable to long-term analyses because of data gaps and larger variability (especially prior to 2004).

As shown in the Supplement (Fig. S12), we observe strong similarities (e.g., peaks at midlatitudes near 10 and 1.5 hPa) in the O<sub>3</sub> annual cycle amplitude patterns from SAGE II, HALOE, ACE-FTS, and Aura MLS over their respective measurement periods. Middle-stratospheric peaks are a result of the annual cycle in oxygen photolysis, whereas temperature variations drive the annual cycle in the upper stratosphere (Perliski et al., 1989). This sort of comparison provides some reassurance regarding the consistency of various data sets. Figure 20 provides diagnostics similar to those given for HCl and H<sub>2</sub>O, namely correlation coefficients and significance ratios for the slopes of the deseasonalized anomaly time series from SAGE II versus HALOE as well as from ACE-FTS versus Aura MLS (for 1992 through 1999,



Figure 21. Systematic error estimates for GOZCARDS O<sub>3</sub> (similar to Fig. 6 for HCl).

and 2005 through 2009, respectively). These diagnostic results for ACE-FTS and Aura MLS are of a quality that is comparable to the HALOE/SAGE II results; poorer fits occur mostly at high latitudes and in the upper stratosphere. Poorer correlations at upper altitude appear largely tied to a decrease in the amount of valid data in this region (especially at high latitudes), coupled with a relatively small variability. For regions with poorer agreement between ACE-FTS and Aura MLS, we often see small variability in the series from Aura MLS but larger changes (scatter) in the ACE-FTS series. Larger differences in trends between SAGE II and HALOE were noted by Nazaryan et al. (2005) at low latitudes near 50 km; this is also indicated by our simple linear fits (not shown here) to the GOZCARDS source data sets from these two instruments and the existence of poorer agreements in Fig. 20 for the slope of the difference series in that region. The existence of good correlations in interannual ozone variations between a large number of satellite measurements was discussed by Tegtmeier et al. (2013). Regarding temporal drifts, Nair et al. (2012) have shown that small drifts (mostly within about  $\pm 0.5 \%$  yr<sup>-1</sup> for the 20– 35 km region) exist between most of the data sets from six ozone lidar sites and coincident HALOE, SAGE II, and Aura MLS measurements; similar results were obtained by Kirgis et al. (2013). Other recent studies (in particular, by Hubert et al., 2015) corroborate the very good stability of the data sets used for GOZCARDS, which relies most heavily on O3 data from SAGE II and Aura MLS. While we feel justified in the use of the longer-term time series chosen for GOZCARDS O<sub>3</sub>, data users should still note the existence of a few regions with poorer correlations or trend agreement (and, therefore, larger uncertainties) between different satellite ozone data sets, as indicated in Fig. 20. Long-term merged data sets from GOZCARDS and other sources should undergo continued scrutiny from the community, as done recently for trends by Tummon et al. (2015) and Harris et al. (2015). Sample cross-sectional views of two slices through the GOZCARDS merged O<sub>3</sub> field are provided in the Supplement (Fig. S13). Figure 21 shows estimated systematic errors from our calculation of the 95 % ranges for the monthly mean source data used here, both above and below the merged values. In this case, as SAGE II is used as a reference data set, the applied offsets (Fig. 20) correlate quite well with this plot depicting the ranges about SAGE II values. Minimum error bars can be slightly lower than 5 % for the middle stratosphere at low latitudes, where ozone values are largest. This view of systematic error bars is consistent with results by Tegtmeier et al. (2013), based on the larger set of data analyzed for the SPARC Data Initiative. They also found that the regions with lowest errors (scatter) are in the middle stratosphere at low to midlatitudes, where most monthly mean satellite data fit within  $\pm 5$  % of the multi-instrument mean.

#### 5.3 GOZCARDS ozone sample results and discussion

Nair et al. (2013) used regression analyses to compare profile trend results from GOZCARDS merged  $O_3$  at



**Figure 22.** Near-global ( $60^{\circ}$  S to  $60^{\circ}$  N) results for average column ozone (total and stratospheric, from Ziemke and Chandra, 2012) compared to GOZCARDS O<sub>3</sub> columns above 68 hPa. Stratospheric columns are offset to better match the total column values, in order to more easily compare relative variations versus time; the black dots and red crosses are referenced to the 1980 total column values, while the cyan curves are referenced to 2007 to better illustrate the fits in the later years. Also shown (as purple open circles) are yearly averaged total column data ( $60^{\circ}$  S to  $60^{\circ}$  N) from the SBUV Merged Ozone (V8.6) Data Set (see text); these values were adjusted upward slightly (by 0.8 DU) to match the ZC12 total column values in 1980.

northern midlatitudes versus a combined O3 data set from lidar and coincident satellite data at the Observatoire de Haute Provence (OHP), France. They showed that good consistency exists for the decreasing ozone time period, from the early 1980s to 1997, and for the upper-stratospheric increase since 1997, but some differences exist in the lower stratosphere during this second time period, when the GOZCARDS results show a near-zero trend in comparison to small positive trends from the combined (and more localized) data set. The above results for the declining time period agree broadly with earlier work (for the 1979–1997 period) by Jones et al. (2009). Gebhardt et al. (2014) analyzed ozone profile trends from the SCanning Imaging Absorption SpectroMeter for Atmospheric CHartographY (SCIAMACHY) on Envisat, and compared this to trends from Aura MLS, Optical Spectrograph and InfraRed Imager System (OSIRIS) on the Odin satellite, and sondes; their results include the detection of localized ozone increases in the mid-stratosphere at low latitudes; see also Bourassa et al. (2014), who analyzed merged SAGE II and (OSIRIS) observations for 1984-2013, as well as results from Kyrölä et al. (2013) on combined SAGE II and Global Ozone Monitoring by Occultation of Stars (GOMOS) records for 1984-2012, and Eckert et al. (2014), who investigated Envisat Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) trends for 2002-2012. The shortness of data records since 1997, coupled with relative variability and potential drifts between various measurements, may explain some differences in recent trend results, notably for the post-1997 period. More comprehensive analyses from the SI<sup>2</sup>N initiative have focused on an intercomparison of profile changes from a variety of data sets, including GOZCARDS and other merged records (Tummon et al., 2015; Harris et al., 2015).

Here, we investigate ozone column results for the stratosphere, based on the global GOZCARDS data, in light of other column ozone data sets, including the work by Ziemke and Chandra (2012), hereafter referenced as ZC12. These authors analyzed total column and stratospheric column data from satellites, and their analyses yielded a rather strong near-global (60° S-60° N) average ozone increase since 1998. Their stratospheric columns depend on the convective-cloud differential (CCD) method and use Total Ozone Mapping Spectrometer (TOMS) and Ozone Monitoring Instrument (OMI) column data over convective clouds near the tropopause (see also Ziemke et al., 2005). In Fig. 22, we compare changes in 60° S-60° N ZC12 column ozone data (J. Ziemke, personal communication, 2013) to changes in GOZCARDS O<sub>3</sub> columns above 68 hPa for that region; note that GOZCARDS values do not provide for a continuous long-term time series down to pressures of 100 hPa or more in the SAGE I years (1979–1981). To eliminate biases between stratospheric columns as calculated using the CCD methodology and the GOZCARDS fixed bottom pressure approach, we reference all stratospheric columns to the 1980 total column value. These column series include SAGE I data and are linearly interpolated between 1981 and 1984, when no GOZCARDS source data sets exist. We observe that relative changes in GOZCARDS columns follow the ZC12 curves within a few Dobson units in the downward phase until about 1992, but the 1992-1997 decrease in total columns does not compare very well. Some of this discrepancy may occur because total columns capture a stronger decrease from levels below 68 hPa, not fully represented in GOZCARDS. Focusing on the late period (from Aura MLS and ACE-FTS), we also show the GOZCARDS columns above 68 hPa, referenced to 2007 instead of 1980. There is a good match in the variations between GOZCARDS and ZC12 columns during 2005–2010, in agreement with the fact that very good correlations were obtained by ZC12 between Aura MLS columns and stratospheric column data from the CCD technique. ZC12 values for stratospheric and total columns are in good agreement, although the stratospheric values have gaps when not enough data were present for near-global estimates. The increase in ZC12 data from 1997 to 1998 is not matched very well by GOZCARDS; this is also true if we remove the 11-year solar cycle from both data sets (not shown here), as done by ZC12. However, the interannual changes in GOZCARDS columns are in better agreement with nearglobal total column variations in the Merged Ozone (Version 8.6) Data Set obtained from the suite of SBUV instruments (McPeters et al., 2013; Frith et al., 2014), as shown

in Fig. 22. Discrepancies between the GOZCARDS and total column data (SBUV or TOMS) are largest between 1992 and 1997; this could be related to some issues in this portion of the GOZCARDS ozone data record or to the somewhat less robust SBUV data in this period, resulting from SBUV satellite orbits closer to the terminator (e.g., see Frith et al., 2014) and/or from total column errors introduced by Mount Pinatubo aerosols (e.g., Bhartia et al., 1993; Torres and Bhartia, 1995). Discrepancies between the various column results in Fig. 22 could also arise from differences in ozone column calculations or coverage because of different methodologies, grids, or sampling to properly determine near-global results. We also note that recent analyses by Shepherd et al. (2014), who used a chemistry-climate model constrained by meteorology to investigate causes of long-term total column O<sub>3</sub> variations, show a partial return, in 2010, towards 1980 ozone column values, but not nearly as much as implied by ZC12. Long-term halogen source gas reductions that have occurred since the mid-1990s should only lead to column ozone increases of a few Dobson units since 1997 (Steinbrecht et al., 2011).

## 6 Other GOZCARDS data records

We now briefly mention the  $N_2O$ ,  $HNO_3$ , and temperature GOZCARDS records that were part of the delivery for public dissemination in 2013. For  $N_2O$  and  $HNO_3$ , the somewhat simpler merging procedure consisted of averaging the source data sets from ACE-FTS and Aura MLS over the overlap time period (August 2004 through September 2010) to obtain the additive offsets for each of the two individual records. We then simply used the correspondingly adjusted and averaged series to create the merged results; this procedure is the same as we described for the first step in the HCl (or  $H_2O$ ) merging process.

# 6.1 N<sub>2</sub>O

This data set starts in August 2004, when the Aura MLS data record began; the only data set after September 2010 is the Aura MLS N<sub>2</sub>O (version 3.3) data record. Because of degradation in the main target MLS N<sub>2</sub>O band (near 640 GHz) after the first few months of 2013, the N<sub>2</sub>O standard MLS product is being reprocessed for the whole Aura MLS period using an alternate measurement band; currently, there are no official GOZCARDS N<sub>2</sub>O data after 2012.

Excellent agreement (mostly within 5%) exists between stratospheric ACE-FTS and Aura MLS  $N_2O$  profiles (see Lambert et al., 2007; Strong et al., 2008; Livesey et al., 2013). Plots showing the average offsets applied to both MLS and ACE-FTS  $N_2O$  series as a function of latitude and pressure are provided in Fig. S14. These plots are in agreement (in magnitude and in sign) with the above-referenced studies; the two data sets yield typical offsets (one half of the aver-



Figure 23. Time evolution (August 2004 through 2012) versus latitude of GOZCARDS merged  $N_2O$  (ppbv) at (a) 6.8 hPa and (b) 100 hPa.

age differences) of less than 5 %. Also, very good temporal agreement between these two time series (for 2004–2010) is illustrated by the quality of the N<sub>2</sub>O diagnostic information displayed in Fig. S15, showing generally highly correlated fields and insignificant drifts.

Figure 23 shows sample contour plots for the N<sub>2</sub>O merged field (2004–2012); as seen from the bottom panel (100 hPa), wintertime descent brings low N<sub>2</sub>O values down at high latitudes inside the polar vortices. N<sub>2</sub>O is a conserved tracer in the lower stratosphere, and its variations near the tropopause have implications regarding age of air. Variations in upperstratospheric N<sub>2</sub>O are clearly affected by seasonal and dynamical effects; this is evident from the striking semi-annual, annual and QBO-related patterns displayed in Fig. 23 for the 6.8 hPa level (top panel).

## 6.2 HNO<sub>3</sub>

As for  $N_2O$ , we merged the HNO<sub>3</sub> data from ACE-FTS (version 2.2) and Aura MLS (version 3.3) from August 2004 onward, and we included only the adjusted MLS data set after September 2010. The average offsets applied to MLS

and ACE-FTS time series as a function of latitude and pressure for HNO<sub>3</sub> are provided in Fig. S16. The typical offsets (one half of the average differences) for HNO<sub>3</sub> are less than  $\sim 10\%$  (and less than 0.5 ppbv). Despite somewhat larger percent absolute differences than for N<sub>2</sub>O between Aura MLS and ACE-FTS HNO<sub>3</sub>, there is very good agreement as a function of time between these two data sets in the stratosphere. This is illustrated by the HNO<sub>3</sub> diagnostic information provided in Fig. S17; the poorest correlations are obtained at or below the tropical tropopause.

Comparisons of v3.3 Aura MLS and v2.2 ACE-FTS nitric acid profiles have shown good agreement (see also Livesey et al., 2013), as the MLS HNO<sub>3</sub> v3.3 values are now generally larger than in v2.2, for which validation results were provided by Santee et al. (2007). Wolff et al. (2008) also compared MLS (v2.2) and ACE-FTS (v2.2) coincident profiles and obtained similar results; in addition, they demonstrated that very good agreement exists between the HNO<sub>3</sub> profiles from ACE-FTS and coincident profiles from MIPAS on Envisat. Also, comparisons between Aura MLS HNO<sub>3</sub> (v3.3) profiles and wintertime HNO<sub>3</sub> profiles retrieved by the Ground-based Millimeter-wave Spectrometer (GBMS) in Thule, Greenland, during the first 3 months of 2010, 2011, and 2012 show agreement mostly within 10–15 % (Fiorucci et al., 2013).

Figure 24 (top two panels) displays the HNO<sub>3</sub> fields at 46 hPa from the UARS MLS period (1991-1997) as well as from the 2004–2013 period, for which a merged GOZ-CARDS product was produced, based on Aura MLS and ACE-FTS source data sets. Also shown (bottom two panels) are time series for 45° N and 32 hPa from both these periods; the bottom right panel includes the source and merged time series. We have performed additional investigations (not shown here) which lead us to believe that small upward adjustments to the UARS MLS HNO<sub>3</sub> values (by about 10%) are needed to better cross-correlate these data sets across the two distinct time periods; such relative biases are within the expected systematic errors. This is based on a consideration of ground-based Fourier transform infrared column HNO3 data covering the full time period, as well as past GBMS HNO<sub>3</sub> profile retrievals. Also, Aura MLS and ACE-FTS HNO<sub>3</sub> data match ground-based and other correlative data quite well, and typically better than the intrinsically poorer quality UARS MLS HNO<sub>3</sub> data. However, obtaining an optimum global set of adjustments for the UARS MLS nitric acid field will be limited by the number of sites with such ground-based data as well as by the different vertical resolutions for these data sets versus MLS. More collaborative work regarding such analyses is needed in order to find the optimum adjustments to help tie together these two time periods for this species. Although we did not deliver the UARS MLS HNO<sub>3</sub> source data files for GOZCARDS, we could provide these monthly zonal mean series upon request, keeping the above caveats in mind.

#### 6.3 Temperature

Finally, in terms of the initial set of delivered GOZCARDS products, and for the convenience of stratospheric composition data users, we have used temperatures (T) from MERRA to produce a monthly mean GOZCARDS temperature data set from 1979 onward. MERRA is a NASA Goddard reanalysis (Rienecker et al., 2011) for the satellite era using Goddard Earth Observing System Data Assimilation System version 5 (GEOS-5); T is from the DAS 3-D analyzed state MAI6NVANA, version 5.2, files (such as MERRA300.prod.assim.inst6\_3d\_ana\_Nv.20110227.hdf). Data from four daily MERRA files (for 00:00, 06:00, 12:00, and 18:00 UT) were averaged to provide daily mean temperature fields (appropriate for a mean time of 09:00 UT). Vertical interpolation was performed onto the GOZCARDS pressure grid, which, for temperature, covers 30 pressure levels from 1000 to 0.0147 hPa. Averaged values were stored for the 10° GOZCARDS latitude bins, and daily results were binned to create the GOZCARDS monthly temperature data set (version 1.0).

#### 7 Summary and conclusions

We have reviewed the GOZCARDS project's production of merged data records of stratospheric composition, mainly for HCl, H<sub>2</sub>O, and O<sub>3</sub>, using carefully screened satellite data, starting in 1979 with SAGE I and continuing through Aura MLS and ACE-FTS data periods. The source data have a high degree of maturity, and we have reinforced our confidence in their usefulness through investigations of various diagnostics (offsets, annual cycles, correlations and trend differences of deseasonalized series). These records are publicly available as GOZCARDS ESDR version 1.01 and can be referenced using DOI numbers (Froidevaux et al., 2013a; Anderson et al., 2013; Wang et al., 2013, for the above species, respectively). The other GOZCARDS data records also have references, namely Schwartz et al. (2013) for the MERRA-based temperature records, and Froidevaux et al. (2013b, c) for N<sub>2</sub>O and HNO<sub>3</sub>, respectively. Table 2 provides a summary of the GOZCARDS monthly mean data sets. Yearly netCDF files are available for public access (http://mirador.gsfc.nasa. gov). The merging methodology follows from a determination of mean biases (for each pressure level and 10° latitude bin) between monthly mean series, based on the overlap periods. For ozone, SAGE II data are the chosen reference, whereas for other species the merging basis is equivalent to an average of the data sets during the periods of overlap. The merged data files contain the average offset values applied to each source data time series, along with standard deviations and standard errors. The GOZCARDS README document (Froidevaux et al., 2013d) provides more details about data file quantities, including local time and solar zenith angle information, and a list of days with available data. We also



**Figure 24.** Sample results display the time evolution of satellite-retrieved HNO<sub>3</sub> (ppbv) for two different periods: 1992–1997 in (**a**) and (**c**) versus 2004–2013 in (**b**) and (**d**). Panels (**a**) and (**b**) are contour plots at 46 hPa from UARS MLS global data and the merged GOZCARDS global data after 2004, respectively; (**c**) and (**d**) show time series at 32 hPa and for the 40–50° N latitude bin, with (**c**) from UARS MLS data, and (**d**) from ACE-FTS, Aura MLS, and the merged combination (between the two source data sets).

display here estimated systematic errors about the merged values; we find that mixing ratio errors are typically within 5 to 15% and are consistent with the magnitude of observed relative biases.

The GOZCARDS HCl merged record in the upper stratosphere enables long-term tracking of changes in total stratospheric chlorine. The long-term increase in HCl prior to the late 1990s, and the subsequent gentler decrease in the 21st century, are delayed manifestations of changes in the sum of the surface source gas abundances as a result of regulations from the Montreal Protocol and its amendments. From 1997 to 2010, the average rate of change in upper-stratospheric HCl (50° S to 50° N) was about -0.4 to -0.7 % yr<sup>-1</sup> (with the smaller rates of decrease after 2003). In the lower stratosphere, where Aura MLS data are weighted heavily, recent short-term variations have shown a flattening out and, in particular for northern midlatitudes and at 50-70 hPa for the deep tropics, a significant reversal and increasing trend (see also Mahieu et al., 2014), compared to the decrease from the late 1990s to about 2004. However, lower-stratospheric HCl tendencies appear to be reversing again in recent years (2011-2014), with decreases at northern midlatitudes and some increasing tendencies at southern midlatitudes. In the future, we expect to see long-term global HCl decreases in both the upper and lower stratosphere.

For water vapor, we have used data from the same instruments as for HCl, with the same methodology, except for the addition of 1991-1993 UARS MLS data. The H<sub>2</sub>O data record shows large mesospheric variations that are anticorrelated with the solar flux over the past two 11-year solar cycles. Net long-term trends in lower-stratospheric H<sub>2</sub>O are quite small if one considers the past 22 years, but there has been considerable interannual variability, including the steep drop from 2000 to 2001, as mentioned in past work. While H<sub>2</sub>O tendencies have been generally positive after 2001, the 68 and 100 hPa levels show some steep decreases (by 0.5-0.8 ppmv) from 2011 to 2013 (see also Urban et al., 2014). Over the past 22 years, long-term global H<sub>2</sub>O increases on the order of 10% are observed in the upper stratosphere and lower mesosphere, whereas a decrease of nearly 10 % has occurred in the lower stratosphere (near 70-100 hPa). However, there is no regular monotonic change on decadal timescales, especially in the tropical lower stratosphere, where fairly sharp decreases followed by steadier increases may be a recurrent pattern (see also Fueglistaler, 2012); this complicates the detection of any small underlying trend. As one might expect from the well-documented temperature influence on the tropical lower stratosphere, H<sub>2</sub>O variability (based on maximum minus minimum yearly averages) is largest in the tropics and just above the tropopause. More accurate studies of

Merged products and pressure range	Source data sets (and years used)
HCl 147–0.5 hPa	HALOE (1991–2005), ACE-FTS (2004–2010), Aura MLS (2004 onward) Note: MLS data for $p < 10$ hPa not used for merged time series
H <sub>2</sub> O 147–0.01 hPa	HALOE (1991–2005), UARS MLS (1991–1993), ACE-FTS (2004–2010), Aura MLS (2004 onward)
O <sub>3</sub> 215–0.2 hPa	SAGE I (1979–1981), SAGE II (1984–2005), HALOE (1991–2005), UARS MLS (1991–1997), ACE-FTS (2004–2009), Aura MLS (2004 onward)
HNO <sub>3</sub> 215–1 hPa	ACE-FTS (2004–2010), Aura MLS (2004 onward)
N <sub>2</sub> O 100–0.5 hPa	ACE-FTS (2004–2010), Aura MLS (2004 onward)
Temperature 1000–0.015 hPa	GMAO MERRA (1979 onward)

Table 2. Products and instrument source data making up the available GOZCARDS data records.

seasonal to decadal water vapor variability will be enabled by continuing such merged  $H_2O$  data sets in the future. A reduction in model spread for stratospheric  $H_2O$  is likely easier to achieve than tighter upper-tropospheric model results; for the upper troposphere, see the data-model comparisons ( $H_2O$  and ice water content) by Jiang et al. (2012).

For ozone, we have used measurements from SAGE I, SAGE II, HALOE, UARS MLS, Aura MLS and ACE-FTS to produce a merged record starting in 1979, after adjusting the series to SAGE II. We observed temporal drifts in the SAGE II series, after conversion to the GOZCARDS mixing ratio-pressure grid, as a result of the NCEP temperature data used in this conversion, mostly in the upper stratosphere after June 2000 (see also McLinden et al., 2009). To mitigate this issue, we used HALOE upper-stratospheric O<sub>3</sub> as a reference for July 2000 to November 2005, after adjusting the HALOE series to SAGE II. The resulting GOZ-CARDS merged O<sub>3</sub> data for northern midlatitudes have been used in regression analyses (Nair et al., 2013) to reveal decreases in the whole stratosphere for 1984-1996. Nair et al. (2015) extended this work and found increasing trends in upper-stratospheric GOZCARDS O3 since 1997, but no significant positive trends in the lower stratosphere. Other studies of GOZCARDS O<sub>3</sub> profile trends have been discussed as part of the WMO (2014) and SI<sup>2</sup>N assessments (Tummon et al., 2015; Harris et al., 2015). Here, we looked at the consistency of column data between stratospheric

GOZCARDS O<sub>3</sub> and work by Ziemke and Chandra (2012), who noted that a fairly rapid change ("recovery") in nearglobal ozone columns from TOMS and OMI could be inferred since the mid-1990s. We show that the similarly analyzed GOZCARDS column data does not show an upturn of more than 0.5-1 % since that period. Reasons for these differences could include data coverage or merging-related issues in either data set, or inaccuracies in globally averaged stratospheric columns. A recent global total ozone study (Shepherd et al., 2014) also points to less of a return towards 1980 levels than implied by ZC12.

We also briefly described the creation of  $N_2O$  and  $HNO_3$ GOZCARDS data records, based on Aura MLS and ACE-FTS. The agreement between these two instruments' data sets for these species was shown to be generally very good. For HNO<sub>3</sub>, UARS MLS HNO<sub>3</sub> source data sets in the GOZ-CARDS format are available from the authors. However, a small upward adjustment (on the order of 10 %) to the UARS MLS values is likely needed based on our preliminary work comparing these series to HNO<sub>3</sub> column results from FTIR measurements. More detailed work should help determine if global adjustments can indeed be made to UARS MLS HNO<sub>3</sub> data; lacking this, one should ensure that error bars reflect likely biases that can affect the continuity between HNO<sub>3</sub> data sets before and after 2000, given the multi-year gap in satellite coverage for this species.

### Appendix A

## A1 GOZCARDS data provenance

The general origin of the data sets is summarized here. Data coverage from limb sounders (including the instruments used here) is displayed nicely in the work by Toohey et al. (2013).

## SAGE I

SAGE I was launched 18 February 1979, aboard the Applications Explorer Mission-B (AEM-B) satellite. SAGE I was a sun photometer using solar occultation (Chu and Mc-Cormick, 1979), and it collected a global database for nearly 3 years on stratospheric aerosol, O<sub>3</sub>, and NO<sub>2</sub>. For more information, the reader is referred to http://sage.nasa.gov/SAGE1.

## SAGE II

SAGE II was launched aboard the Earth Radiation Budget Satellite (ERBS) in October 1984, and its data gathering period ended in August 2005. During each sunrise and sunset, SAGE II measured stratospheric aerosols, O<sub>3</sub>, NO<sub>2</sub>, and H<sub>2</sub>O via solar occultation. This long data set has proven very valuable in determining past ozone trends. For more information on and data access to the (V6.2) data set used for GOZCARDS, the reader is referred to http://sage.nasa.gov/ SAGE2.

## HALOE

Since its launch on 12 September 1991 from the Space Shuttle Discovery until November 2005, UARS HALOE collected profiles of atmospheric composition and temperature. HALOE (Russell III et al., 1993) used solar occultation to measure vertical profiles of O<sub>3</sub>, HCl, HF, CH<sub>4</sub>, H<sub>2</sub>O, NO, NO<sub>2</sub>, temperature, aerosol extinction, and aerosol composition and size distribution. More information and access to the HALOE data can be obtained from http://haloe.gats-inc. com and http://disc.sci.gsfc.nasa.gov/UARS/data-holdings/ HALOE. For GOZCARDS purposes, we have used Version 19 HALOE netCDF data files, available at http://haloe. gats-inc.com.

## UARS MLS

This instrument observed the Earth's limb in microwave emission using three radiometers, at frequencies near 63, 183 and 205 GHz (Waters, 1993; Barath et al., 1993), providing unique daily global information on stratospheric ClO, along with other profiles, including  $O_3$ ,  $H_2O$ , HNO<sub>3</sub>, temperature, and cloud ice water content. The stratospheric H<sub>2</sub>O data ceased on 15 April 1993, after the failure of the 183 GHz radiometer. After 15 March 1994, measurements became increasingly sparse in order to conserve the life of the MLS antenna scan mechanism and UARS power. Data exist until 28 July 1999, although for GOZCARDS only data through mid-June 1997 are used, as data sparseness and degradation of the 63 GHz radiometer led to fewer "trend-quality" data after this. Sampling patterns follow the alternating yaw cycles imposed on MLS by the precessing UARS orbit; MLS measurements were obtained continuously for all latitudes between 34° S and 34° N, with higher latitudes covered in either the Northern or Southern Hemisphere with a roughly 36-day cycle. Livesey et al. (2003) provide more information on the UARS MLS instrument, retrievals, and results. For data access, the reader is directed to the relevant Goddard Earth Sciences and Information Services Center (GES DISC) data holdings at http://disc.sci.gsfc.nasa.gov/UARS/ data-holdings/MLS. L3AT data files were used as the basis for the production of the GOZCARDS UARS MLS monthly source data sets.

## ACE-FTS

ACE-FTS is the primary instrument onboard the SCISAT satellite, launched on 12 August 2003. It is a high-spectralresolution ( $0.02 \text{ cm}^{-1}$ ) Michelson interferometer operating from 2.2 to 13.3 µm (750–4400 cm<sup>-1</sup>); see Bernath et al. (2005) for an overview of the ACE mission. The instrument can simultaneously measure temperature and many trace gases (including all the species mentioned here for GOZCARDS), thin clouds, and aerosols, using the solar occultation technique. ACE-FTS data version 2.2, along with the version 2.2 update for ozone, were used here for GOZ-CARDS. For access to the public ACE-FTS data sets, with a routine measurement start date of March 2004, the reader is directed to http://www.ace.uwaterloo.ca.

## Aura MLS

MLS is one of four instruments on NASA's Aura satellite, launched on 15 July 2004. Aura MLS is a greatly enhanced version of the UARS MLS experiment, providing better spatial coverage, vertical resolution, and vertical range, along with more continuous data over its lifetime (and with ongoing measurements at the time of writing). The instrument includes radiometers at 118, 190, 240, and 640 GHz, and a 2.5 THz module (Waters et al., 2006). Aura MLS provides measurements of many chemical species, cloud ice, temperature and geopotential height. Continuous measurements have been obtained since August 2004, with the exception of OH, for which sparser measurements exist since August 2010, in order to preserve the life of the THz module. For more information and access to the Aura MLS data sets, the reader is referred to http://disc.sci.gsfc.nasa.gov/Aura/ data-holdings/MLS. For GOZCARDS, we use the currently recommended Aura MLS data versions (version 2.2/2.3 for ozone and 3.3/3.4 for other species).

Instrument	Data screening issue/method	Reference
SAGE I (O <sub>3</sub> )	Aerosol interference issue: remove values at altitudes below which the 1 $\mu$ m extinction > 10 <sup>-3</sup> km <sup>-1</sup> .	L. Thomason (personal communication, 2012)
SAGE II (O <sub>3</sub> )	Remove entire profile if any error error value exceeds 10% of VMR (for 30 to 50 km altitude); this occurred mainly in 1993 and 1994 ("short events"). Use aerosol extinctions and extinction ra- tios to remove data affected by clouds or by aerosols (from Mt. Pinatubo). Remove anomalously low values result- ing from very small SAGE II transmit- tances (errors are capped at 300% as a flag).	Wang et al. (2002)
	Remove profiles under high beta angle conditions.	See also Wang et al. (1996)
HALOE	Remove cloud-contaminated values. Also remove profiles that may contain ar- tifacts from faulty trip angle or constant lockdown angle registration. Remove aerosol contamination (O <sub>3</sub> and	Hervig and McHugh (1999) haloe.gats-inc.com/user_docs/index.php Bhatt et al. (1999)
	HCl).	
UARS MLS	Use screening guidelines based on instru- ment status, retrieval quality flags, and sign of precision values.	Livesey et al. (2003)
Aura MLS	Use screening guidelines based on instru- ment status, retrieval quality and conver- gence flags, and sign of precision values.	Livesey et al. (2013)
ACE-FTS	Remove occultations listed as bad. Remove data when error value > VMR or error value < $10^{-4} \times VMR$ . Use a data screening procedure (see Sect. 2.1) to identify and remove the largest outliers. V2.2 data after Sep 2010 (2009 for ozone) are not used because of a data processing issue.	databace.scisat.ca/validation/data_issues.php K. Walker (personal communication, 2012)

Table A1. Data screening procedures and related references used for the source data set generation.

# A2 Calculation details for the iterative merging procedure

Given three time series, the merging procedure that we use first combines two out of the three time series,  $y_1(i)$  and  $y_2(i)$ (where index *i* represents time for each monthly mean value in a given latitude–pressure bin). We first obtain the temporary merged series  $m_1(i)$  via

$$m_1(i) = (1/2)(y_1(i) + y_2(i)),$$
 (A1)

with the average offsets for  $y_1(i)$  and  $y_2(i)$  being  $(1/(2n_{12}))$  $\Sigma(y_1(i) - y_2(i))$  and -1 times this value, respectively;  $n_{12}$  is the number of overlapping data points between the two time series. Then, we merge together the time series  $m_1(i)$  and  $y_3(i)$ , keeping the weightings equal for all three time series (1/3 for each), so that we calculate the new merged time series m(i) via

$$m(i) = w_m m_1(i) + w_3 y_3(i) = (1/3)(y_1(i) + y_2(i) + y_3(i)),$$
(A2)

which will hold if the weights are  $w_m = 2/3$  and  $w_3 = 1/3$ (given Eq. A1 for  $m_1(i)$ ). The average reference value (to which the adjustments of  $m_1(i)$  and  $y_3(i)$  in the second step are made) is given by  $(1/n_m)\Sigma((2/3)m_1(i) + (1/3)y_3(i))$ , where  $n_m$  represents the number of (overlapping pairs of) data values used in step 2. For the HCl and H<sub>2</sub>O data merging procedure, we always use the Aura MLS time series as one of the first two series involved in the initial merging step, for example as  $y_1(i)$ , in order to maximize the overlap between the first two series and obtain more robust offset values. Then, we use the third time series; the order used for HALOE and ACE-FTS (i.e., whether we use HALOE or ACE-FTS for  $y_2$ or  $y_3$ ) makes very little difference.

# Calculation of the standard deviation for the merged data values

The average and standard deviation (square root of variance) for each  $y_k$  value (i.e., for each monthly zonal mean in a particular lat/p bin) are calculated from Eqs. (A3) and (A4) below:

$$\overline{y}_k = \frac{1}{n_{yk}} \sum_j y_{kj} \tag{A3}$$

and, for the variance,

$$\sigma_{yk}^2 = \frac{1}{n_{yk} - 1} \sum_j (y_{kj} - \overline{y}_k)^2,$$
(A4)

where index "*j*" corresponds to individual data values within a month, index *k* represents a given instrument (data source), and *n* is the total number of data values for a given bin and source (instrument) time series point in time (or month). Each value  $\overline{y_k}$  above is a monthly average (although we also use instead the simpler notation  $y_k$ ), with standard deviation about the mean  $\sigma_{yk}$ . Now, given the merged series u(i)(where index *i* runs over a large number of months), the standard deviation of each merged data point (for a given month) can be obtained by considering the original data sets  $y_{kj}$  that were used to construct *u*. Specifically, we have the variance for the merged data set

$$\sigma_u^2 = \frac{1}{n_u - 1} \sum_j (u_j - u_{\text{ref}})^2,$$
(A5)

where  $u_{ref}$  is the merged value (which is not necessarily chosen to be the average value  $\overline{u}$ ) and the  $u_j$  values represent the union of adjusted data values that make up the merged product, with the index j for this combined data set covering all values (up to the total  $n_u$ ) obtained from the original source values  $y_{kj}$ . In practice, we do not keep track of the individual data values that went into making the averages for the series  $y_k$  that are being merged, and we need to obtain  $\sigma_u$ based solely on the values  $\overline{y_k}$ ,  $\sigma_{yk}$ , and the original number of points for each data set  $y_k$ , namely  $n_{yk}$ . If we consider all the original values, we have a combined data set with  $n_u$  points, such that  $n_u = \sum_k n_{yk}$ . Now, expanding Eq. (A5), we get

$$(n_u - 1)\sigma_u^2 = \sum_j (u_j^2 + u_{\text{ref}}^2 - 2u_{\text{ref}}u_j)$$
(A6)

or

$$(n_u - 1)\sigma_u^2 = \sum_j u_j^2 + n_u u_{\text{ref}}^2 - 2u_{\text{ref}} \sum_j u_j.$$
 (A7)

Expanding Eq. (A4) for each individual data set  $y_k$ , we get

$$(n_{yk} - 1)\sigma_{yk}^2 = \sum_j y_{kj}^2 + \overline{y}_k^2 - 2\overline{y_k} \sum_j y_{kj},$$
 (A8)

which leads to

$$\sum_{j} u_{j}^{2} = \sum_{k,j} y_{kj}^{2} = \sum_{k} (n_{yk} - 1) \sigma_{yk}^{2} + \sum_{k} n_{yk} \overline{y_{k}}^{2}, \qquad (A9)$$

so that extracting the variance from Eq. (A7) now leads to

$$\sigma_u^2 = \frac{1}{(n_u - 1)} \left( \sum_k (n_{yk} - 1) \sigma_{yk}^2 + \sum_k n_{yk} \overline{y_k}^2 + n_u u_{\text{ref}}^2 - 2u_{\text{ref}} \sum_k n_{yk} \overline{y_k} \right).$$
(A10)

The adjusted time series are obtained from the original series  $y_k$  as  $Y_k$ , and we can write Eq. (A4) in the same manner for the  $Y_k$  data values, namely

$$\sigma_{Yk}^2 = \frac{1}{n_{yk} - 1} \sum_j (Y_{kj} - \overline{Y_k})^2,$$
(A11)

with  $\sigma_{Yk} = \sigma_{yk}$  as the adjustments (offsets) are performed in an additive manner; if these adjustments were performed using multiplicative factors, those factors would also have to be considered in a multiplicative way to get the new  $\sigma_{yk}$ values. We can thus write Eq. (A10) for the adjusted data sets as

$$\sigma_u^2 = \frac{1}{(n_u - 1)} \left( \sum_k (n_{yk} - 1) \sigma_{yk}^2 + \sum_k n_{yk} \overline{Y_k}^2 + n_u U_{\text{ref}}^2 - 2U_{\text{ref}} \sum_k n_{yk} \overline{Y_k} \right).$$
(A12)

Equation (A12) for the standard deviation of the merged data set simplifies if the original data sets are adjusted to exactly the same reference value "ref" ( $\overline{Y_k} = \text{ref}$ ) and the merged value  $U_{\text{ref}}$  is also equal to that value, as the sum of the last three terms in Eq. (A10) (with  $Y_k$  replacing  $y_k$ ) then reduces to  $n_u \text{ref}^2 + n_u \text{ref}^2 - 2n_u \text{ref}^2$ , which is zero. In this case, one obtains

$$\sigma_u^2 = \frac{1}{(n_u - 1)} \left( \sum_k (n_{yk} - 1) \, \sigma_{yk}^2 \right). \tag{A13}$$

However, in general, one should use Eq. (A12) for the standard deviation of the merged data set, given the adjusted data sets  $\overline{Y_k}$  and the merged (or reference) value  $U_{ref}$ . Also, we

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often use a merged value equal to the average of the original data (over a given overlap period), so that

$$U_{\rm ref} = \frac{1}{n_y} \sum_k \overline{y_k},\tag{A14}$$

where  $n_y$  is the total number of data sets  $(y_k)$ , as opposed to having the merged value place more weight on the larger data sets (e.g., for emission-type versus occultation-type measurements), in which case one would consider using  $U_{ref} =$  $\frac{1}{n_u}\sum n_{yk}\overline{y_k}$ . For ozone, we use a particular data set (SAGE II ozone) as the primary reference, but Eq. (A12) can be used to obtain the standard deviation for the merged data set (about the SAGE II reference) in that case also. While it is useful to have the formalism above for obtaining the merged data set standard deviation  $\sigma_u$ , we often find significant differences between the standard deviations of various data sets, so that this effect will have the greatest influence on the results, as opposed to the impact of the last three terms in the summation (in Eq. A12). Finally, it is easy to test Eq. (A12) (and we have done so) by using synthetic series and calculating the standard deviation of the combined set. In reality, the standard deviations of the time series monthly mean values are typically larger for MLS than for ACE-FTS, mainly because of the more complete sampling of variability from the daily global measurements acquired by MLS. Sample plots for standard deviations and standard errors in the case of HCl are shown in Fig. A1. As expected, merged standard deviations follow the standard deviations from HALOE HCl before August 2004 and those from MLS HCl after this time. However, the merged standard errors for the MLS time period follow the smaller MLS standard errors, because these values vary inversely with the square root of the number of values sampled, and are therefore made smaller by the significantly larger daily and monthly MLS sampling rate and coverage.

## A3 Procedural merging details for GOZCARDS HCl, H<sub>2</sub>O, and O<sub>3</sub>

We summarize here procedural details and issues in the merging process for HCl,  $H_2O$ , and  $O_3$ .

## A3.1 HCl

- The vertical data range for valid HCl merged values is between 0.46 and 147 hPa (inclusive), as a result of data sparseness or data quality issues outside these ranges.
- At 147 hPa, no merged HCl values exist for latitude bins from 35° S to 35° N, because of unrealistically large Aura MLS HCl values in this region; also, there are not enough data at this level to provide a meaningful product from HALOE and ACE-FTS data alone.
- Because of occasional small negative merged values during Southern Hemisphere polar winter, we did not



**Figure A1.** Illustration of the standard deviations (in **a**) and standard errors (in **b**) for monthly mean GOZCARDS HCl (source and merged records) at 46 hPa for  $30-40^{\circ}$  S. Source data from HALOE, Aura MLS, and ACE-FTS are given by the filled colored dots (see legend); each standard deviation is simply obtained from the range of values measured during the month. The large open brown circles give standard deviations for the merged HCl product; this Appendix provides the formulae to calculate these quantities.

apply HCl data offsets in the lower stratosphere for the 65 through 85° S bins from June through September and for pressures larger than or equal to 15 hPa. For vertical continuity purposes, we applied this method to all lower-stratospheric pressure levels, although the small negative merged values only occurred in a small fraction of cases and the impact on the merged values is not large. Seasonal variations in other bins are milder and did not lead to such an Antarctic winter issue; also, this issue did not affect other species.

 As Aura MLS and ACE-FTS data exist in the 85° N and 85° S bins, but there are no HALOE measurements, we could not use our standard merging procedure there. We simply extended the offsets from the adjacent bins (at  $75^{\circ}$  N and  $75^{\circ}$  S) to these two bins to obtain a merged record after 2004 that exhibits continuity versus latitude.

– At 100 hPa, we used HCl offsets from the 5° S bin for the 5° N bin, as there were insufficient data from the three combined data sets in the latter bin to calculate meaningful offsets and merge the data sets. This procedure seems reasonable, given that the time series in these two adjacent tropical latitude bins (during years outside the 2004/2005 overlap period) look continuous and stable enough to justify identical adjustments in both bins and to avoid a data gap in the merged series at 5° N, although this does imply somewhat larger error bars at 5° N.

# A3.2 H<sub>2</sub>O

- The vertical data range for valid  $H_2O$  merged values is between 0.01 and 147 hPa (inclusive). Some  $H_2O$  data exist at 147 hPa for low latitudes, but more careful work would be needed to extend the merged data globally in such a region.
- Users should keep in mind the PMC-related caveats mentioned in Sect. 4 for summer at high latitudes in the upper mesosphere, prior to the end of the HALOE data set (November 2005).
- As for HCl, we could not use our standard merging procedure at the two most poleward latitude bins; we simply extended the offsets from the adjacent bins (at 75° N and 75° S) to these polar bins to obtain a merged record after 2004 that exhibits continuity versus latitude.
- Also as for HCl, at 100 hPa, we used H<sub>2</sub>O offsets from the 5° S bin for the 5° N bin, as there were insufficient data from the combined data sets in the latter bin to calculate meaningful offsets and merge the data sets. This procedure avoids a data gap in the merged series at 5° N.

# A3.3 O<sub>3</sub>

# Screening of SAGE O3 data

For SAGE I O<sub>3</sub>, the main uncertainty is aerosol interference, especially below 15 to 20 km. All SAGE I values below (in altitude) where the aerosol extinction at 1.0  $\mu$ m reaches a value larger than  $1.0 \times 10^{-3}$  km<sup>-1</sup> are removed from the analysis (L. W. Thomason, personal communication, 2012).

For SAGE II ozone, the screening steps are based on Wang et al. (2002) as follows:

- We removed the entire ozone profile when any reported error bar value exceeded 10% between 30 and 50 km, in order to filter out outliers affected by "short events" (Wang et al., 2002), which mainly occurred between mid-1993 and mid-1994, when SAGE II had a battery problem. In order to preserve power, sunset measurements were started later than normal, while sunrise measurements were ended earlier. These short events had fewer extraterrestrial solar irradiance measurements for calibration and normalization.

- We used aerosol extinctions and extinction ratios to remove data affected by clouds, and aerosols from the June 1991 Mt. Pinatubo eruption. O3 data were removed when the aerosol extinction at 0.525 µm exceeded  $6 \times 10^{-3}$  km<sup>-1</sup>, thus removing data affected by this eruption for months and even years, in the lower stratosphere. For cases with extinctions less than  $6 \times$  $10^{-3}$  km<sup>-1</sup> but greater than  $1 \times 10^{-3}$  km<sup>-1</sup>, and extinction ratios  $(0.525/1.02 \,\mu\text{m}) = 1.4$ , the corresponding data were removed for additional filtering. Although more stringent criteria could be used to remove a few more outliers, this would also remove many more "good" ozone data that are not affected by aerosol/cloud. Fortunately, any artifacts from these few unfiltered data values are greatly reduced after binning the data into monthly zonal means.
- We removed anomalously low O<sub>3</sub> values resulting from very small SAGE II transmittances; O<sub>3</sub> error values in these cases were capped at 300 % by the algorithm. Such low O<sub>3</sub> values (sometimes low by 2–3 orders of magnitude) generally occur close to the tropopause and in the troposphere, and can be identified by using this 300 % error flag (Wang et al., 2002).
- It was found that SAGE II ozone data could be affected during high sun-orbit beta angle conditions (Wang et al., 1996). SAGE II profiles immediately following fully sunlit orbits with absolute values of beta greater than 40° are eliminated from monthly zonal means.

# Other merging details for O<sub>3</sub>

- SAGE I monthly mean source data are used for the merged data set in the tropical bins (25° S to 25° N) from 1 through 68 hPa only, and at higher latitudes from 1 through 100 hPa only.
- The vertical range for valid  $O_3$  merged values is between 0.2 and 215 hPa (inclusive), with the lower altitude bound varying with latitude. The merged product at 147 and 215 hPa has valid data only for the 35° to 85° latitude bins. Indeed, we limited merged data mostly to stratospheric values (larger than ~ 0.1 ppmv); the upper troposphere is more of a challenge for such a merging activity, given smaller abundances, more challenging measurements, and a larger impact from different instrument resolutions. The upper range limit was chosen to enable studies of the upper stratosphere and lower

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mesosphere, even if this is a region where diurnal ozone change occurs; arguments we have presented (see main text) suggest that the GOZCARDS merged ozone time series variations should not be subject to a large impact from diurnal variations, although high-altitude regions should still be treated with caution.

- We omitted the use of UARS MLS at 100 hPa for low latitudes (from 25° S to 25° N), as these monthly values are biased quite high and also exhibit too large a seasonal cycle amplitude, in comparison to HALOE and SAGE II data; this appears to relate to a UARS MLS artifact.
- Since there is no (monthly) overlap between SAGE II and HALOE versus UARS MLS or Aura MLS in the 85° N and 85° S latitude bins, the same offsets as for 75° N and 75° S (respectively) are applied to the data sets at these two extreme latitude bins, in order to minimize latitudinal discontinuities in the merged data record.
- Because of discontinuities that appeared in merged  $O_3$  at high latitudes above the stratopause, particularly in the 75° S bin, we flagged merged values for 75° and 85° (N and S) as bad, for pressures less than 1 hPa. This issue could be the result of a few bad data points or not enough data overlap. To minimize artifacts, we left the resolution of this issue for future investigations; also, the reduced amount of occultation data at these high latitudes makes the usefulness of a merged product with poorly sampled seasonal changes somewhat marginal (for certain years at least, the number of monthly values drops significantly at high latitudes).

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